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# Marlin IPTV End-point Service Specification

Version 1.0.2  
Final

Source	Marlin Developer Community
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# 1 Introduction

## 1.1 Document Organization

This document covers the Marlin IPTV End-point Service Specification. It is organized as follows:

- (This) introduction, including abbreviations, definitions and references.
- Marlin IPTV End-point Service System entities.
- Architecture of Marlin IPTV End-point Service Specification.
- Communication Protocol.
- Trust Management.
- File Format.

## 1.2 Terminology and Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this specification are to be interpreted as described in [RFC2119].

These capitalized key words are used to unambiguously specify requirements and behavior that affect the interoperability and security of implementations. When these key words are not capitalized, they are meant in their natural-language sense.

All Elements of this specification are considered **Normative** unless specifically marked **Informative**. All Normative Elements are **Mandatory** to implement, except where such an element is specifically marked **OPTIONAL**. Finally, where **Normative** elements are described as **OPTIONAL**, they MAY be omitted from an implementation, but when implemented, they MUST be implemented as described.

## 1.3 Abbreviations

ACK	ACKnowledgement
AES	Advanced Encryption Standard
CBC	Cipher Block Chaining
CPRM	Content Protection for Recordable Media
CRL	Certificate Revocation List
DH	Diffie-Hellman
DRL	Device Revocation List
EC-DH	Elliptic Curve Diffie-Hellman
EC-DSA	Elliptic Curve Digital Signature Algorithm
ECM	Entitlement Control Message
ECSP-DSA	Elliptic Curve Signature Primitive, Digital Signature Algorithm version
ECSVDP-DH	Elliptic Curve Secret Value Derivation Primitive, Diffie-Hellman version
ECVP-DSA	Elliptic Curve Verification Primitive, Digital Signature Algorithm version
IV	Initialization Vector
MG-R	MagicGate Type-R
MPEG-2 TS	MPEG 2 Transport Stream
OFB	Output FeedBack
PI	Protection Information

PMT	Program Map Table
RFC	Request For Comments
SAC	Secure Authenticated Channel
TS	Transport Stream
TTS	Timed Transport Stream
VCPS	Video Content Protection System

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## 148 **1.4 Terms and Definitions**

Authentication	The process of validating the identity of an individual, device, entity or system.
Channel	A TS/TTS that consists of one or more contents.
Channel Tier Bits	A bit string that is transferred within an ECM and specifies the subscription to which the Channel carrying the ECM belongs.
Content Key	The symmetric key that encrypts the content.
Direct Key Delivery	A key delivery scheme to deliver a key directly necessary for content consumption with a validity period to a Marlin IPTV-ES Device via SAC. The key delivered by this scheme is called a Content Key.
ECM	Information used on descrambling contents as a sub-permission of consuming the contents.
EXPORT	Output to other protection systems.
EXTRACT	Output contents for rendering.
Indirect Key Delivery	A key delivery scheme to deliver a key indirectly necessary for content consumption to a Marlin IPTV-ES Device via SAC. The key delivered by this scheme is called a Work Key.
IPTV	TV system with broadband connection.
IPTV-ES Network	A network between the Marlin IPTV-ES Server and the Marlin IPTV-ES Device.
Marlin IPTV-ES Device	Client device of a Marlin IPTV-ES Server.
Marlin IPTV-ES Server	Server on the Marlin IPTV-ES Network.
Persistent Storage	Storage areas of the Marlin IPTV-ES Device or the Marlin IPTV-ES Server that can retain the stored data in absence of power.
Protected Storage	A local storage that incorporates a mechanism by which to secure the data it persists. Protected Storage may be physically or logically bound to the Marlin IPTV-ES Device.
RECORD	Output to Protected Storage.
Scramble Key	The symmetric key that scrambles the content.
Service Provider ID	An identifier of a service provider.
Simple Key Delivery	A key delivery scheme to deliver a key directly necessary for content consumption without a validity period to a Marlin IPTV-ES Device via SAC. The key delivered by this scheme is called a Content Key but is only allowed to be cached during the rendering of the content.
Subscription Tier Bits	A bit string that is transferred with a Work Key and specifies the subscription of a Marlin IPTV-ES Device.

Tier Bits	A bit string used to control the ability of consuming Channels. Subscription to one or more Channels of a single service provider is to be assigned to each bit.
TransactionFlag Management	A set of procedures performed by both Marlin IPTV-ES Device and Marlin IPTV-ES Server in conjunction with storing the TransactionFlag on their Persistent Storage and sending the stored TransactionFlag from the Marlin IPTV-ES Device in order to make the Marlin IPTV-ES Server possible to check the reception status of a communication message on the Marlin IPTV-ES Device after a communication cut-off.
Trusted Time	A secure and internal time source of a Marlin IPTV-ES Server or a Marlin IPTV-ES Device defined in the Marlin compliance rules.
Work Key	The symmetric key that encrypts ECMs.
Work Key ID	An identifier of a Work Key.
Work Key Management ID	An identifier of a unit for managing Work Keys of a single service provider.
Work Key Version	A value that specifies the version of Work Key for a single Work Key Management ID.

150

## 151 1.5 References

### 152 1.5.1 Normative References

[AES]	NIST FIPS 197: Advanced Encryption Standard (AES). November 2001. <a href="http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf">http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf</a>
[AES-MODES]	Recommendation of Block Cipher Modes of Operation. NIST. NIST Special Publication 800-38A. <a href="http://csrc.nist.gov/CryptoToolkit/modes/800-38_Series_Publications/SP800-38A.pdf">http://csrc.nist.gov/CryptoToolkit/modes/800-38_Series_Publications/SP800-38A.pdf</a>
[DTCP]	Digital Transmission Content Protection Specification Revision 1.4 Volume 1
[IEEE1363-2000]	IEEE Standard Specifications for Public-Key Cryptography
[RFC2119]	S. Bradner, <i>Key words for use in RFCs to Indicate Requirement Levels</i> , IETF RFC 2119, March 1997 <a href="http://www.ietf.org/rfc/rfc2119.txt">http://www.ietf.org/rfc/rfc2119.txt</a>
[MEXP]	Marlin - Export Parameter Specification
[MFF]	Marlin – File Formats Specification, Version 1.0
[MP2S]	ISO/IEC 13818-1 “Information technology – Generic coding of moving pictures and associated audio information: Systems” Second edition 2000-12-01
[ISOMFF]	“Information technology – Coding of audio-visual objects – Part 12: ISO base media file format”, second edition, ISO/IEC 14496-12:2005(E), 2005-04-01
[PKIX]	R. Housley, W. Ford, W. Polk, D. Solo. <i>Internet X.509 Public Key Infrastructure Certificate and CRL Profile</i> . IETF RFC 3280. April 2002 <a href="http://www.ietf.org/rfc/rfc3280.txt">http://www.ietf.org/rfc/rfc3280.txt</a>
[SCTE52]	ANSI/STCE 52: “Data Encryption Standard – Cipher Block Chaining Packet Encryption Specification”
[Starfish]	Starfish – Marlin Broadcast Encryption Scheme, Version 1.1

[X509]	<i>ITU-T Recommendation X.509 (1997 E): Information Technology - Open Systems Interconnection - The Directory: Authentication Framework, June 1997.</i>
[X509Cor1]	<i>ITU-T Recommendation X.509 (2000) Corrigendum 1: Information technology - Open Systems Interconnection - The Directory: Public-key and attribute certificate frameworks Technical Corrigendum 1</i>

153

## 154 **1.6 Bit/Byte ordering**

155 All data in this specification are presented with the most significant bit (or byte) on the  
156 left hand side and the least significant bit (or byte) on the right hand side.

157 Also, all data in this specification are encoded using the big-endian byte order (also  
158 known as network byte order) and all bit vectors are multiples of 8 bit bytes in big-  
159 endian byte order.

160

## **2 Marlin IPTV-ES System entities (Informative)**

### **2.1 Marlin IPTV-ES Device**

Marlin IPTV-ES Devices are devices such as TV sets, Set top Boxes, etc. that are continuously connected to services located in the IPTV-ES Network. These devices are IPTV service clients and can have caches of contents that can be played autonomously, implementing a number of subscription and rental business models. Marlin IPTV-ES Devices are able to play streamed and downloaded contents. Moreover, the devices are able to export streamed and downloaded contents to a certain media, and to record streamed contents to a local storage. To play content, a Marlin IPTV-ES Device acquires a Content Key or a Work Key, respectively with a simple validity expression, from the Marlin IPTV-ES Servers located in the IPTV-ES Network, and renders by decrypting the contents with the Content Key or a Scramble Key, which is obtained by decrypting an ECM with the Work Key. The Marlin IPTV-ES Devices can export contents to a certain media system (e.g. DTCP, CPRM, MGR, VCPS, etc) by acquiring a Content Key or a Work Key, respectively with export information from the Marlin IPTV-ES Servers located in the IPTV-ES Network and transforms the contents for the Media System. When the technology defined in this specification is used for key delivery for conditional access services, the simple validity expression corresponding to a Work Key indicates the period in which a Marlin IPTV-ES Device can access to a streamed content. In such case, the Marlin IPTV-ES Device is able to play or export the streamed content even after the expiration of the Work Key by recording the content to the local storage.

Note that a Marlin IPTV-ES Device is not capable of sharing the received content with other devices in the network.

### **2.2 Marlin IPTV-ES Server**

The Marlin IPTV-ES Server is located in the IPTV-ES Network and operated by service providers. When Marlin IPTV-ES Server receives a request of a certain action (play, export, or record) for content from the Marlin IPTV-ES Device, it checks the availability of the action for the content. When the request is granted, the Content Key, or the Work Key and its related Work Key ID, and other related information such as a validity expression for the key, export information, or record information are sent to the Marlin IPTV-ES Device.



## 3 Architecture of Marlin IPTV End-point Service

### 3.1 Architecture (Informative)

The Figure 1 shows a high level architecture of a Marlin IPTV-ES Device and a Marlin IPTV-ES Server. The entities which are included in the Marlin IPTV End-point Service are depicted in the figure.

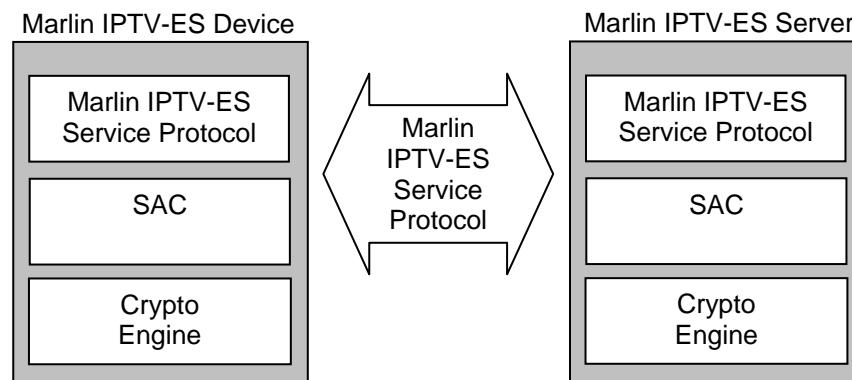


Figure 1: Architecture of Marlin IPTV-ES Device and Marlin IPTV-ES Server

### 3.2 Marlin IPTV-ES Device

#### 3.2.1 Functions

The Marlin IPTV-ES Device at least SHALL implement the following functionality.

- Marlin IPTV-ES Service Protocol: Generates and analyzes messages for Marlin IPTV-ES Service Protocols defined in section 4.2.
- SAC: Communicates messages for Authentication and Encryption defined in section 4.1.
- Crypto Engine: Manages Crypto operation for SAC.

The detail of which function that the Marlin IPTV-ES Device SHALL implement depends on its supporting profile defined in Appendix A.

#### 3.2.2 Credentials and Device Information

The Marlin IPTV-ES Device SHALL have the following information which is used in Marlin IPTV-ES Service Protocols over SAC:

- *Credentials*: X.509 Key Pair used for Authentication and SAC establishment with a Marlin IPTV-ES Server
- *DeviceInformation*: DeviceInformation indicates the characteristic of the Marlin IPTV-ES Device. In Marlin IPTV-ES Service Protocols defined in section 4.2, DeviceInformation is encoded as in Table 3-1.
  - *SpecificationVersion*: SpecificationVersion represents the major and minor versions of the Marlin IPTV-ES specifications the client supports.
  - *Capabilities*: Capabilities indicates a certain functionality the client supports. The following capabilities are defined in this specification.

- 241           ✧ bit0: This bit indicates that the client implements and has the secure  
242           clock function using the Trusted Time.  
243           ✧ bit1-bit7: Reserved.  
244           ➤ *Manufacturer*: Manufacturer indicates a unique identity of each of  
245           manufacturers. The manufacturer-specific value obtained from MTMO  
246           SHALL be set.  
247           ➤ *ManufacturerModel*: ManufacturerModel indicates an identity of a model  
248           in the specified Manufacturer.  
249           ➤ *ManufacturerModelVersion*: ManufacturerModelVersion represents the  
250           major and minor versions of the specified ManufacturerModel.  
251

Byte index <sup>1</sup>	Description
0	Marlin IPTV-ES SpecificationVersionMajor. For the client that implements this specification, the value for major is set to "01h".
1	Marlin IPTV-ES SpecificationVersionMinor. For the client that implements this specification, the value for minor is set to "00h".
2	Capabilities. "00h" SHALL be set for this specification.
3-4	Manufacturer.
5-6	ManufacturerModel. "0000h" SHALL be set for this specification.
7	ManufacturerModelVersionMajor. "00h" SHALL be set for this specification.
8	ManufacturerModelVersionMinor. "00h" SHALL be set for this specification.
9-11	Reserved. "000000h" SHALL be set for this specification.

Table 3-1: Device Information Encoding

252

### 253 **3.3 Marlin IPTV-ES Server**

#### 254 **3.3.1 Functions**

255 The Marlin IPTV-ES Server at least SHALL implement the following functionality.

256

- 257       • Marlin IPTV-ES Service Protocol: Generates and analyzes messages for
- 258       Marlin IPTV-ES Service Protocols defined in section 4.2.
- 259       • SAC: Communicates messages for Authentication and Encryption defined in
- 260       section 4.1.
- 261       • Crypto Engine: Manages Crypto operation for SAC.

262

263 The detail of which function that the Marlin IPTV-ES Server SHALL implement  
264 depends on its supporting profile defined in Appendix A.  
265

<sup>1</sup> The Byte index value is a relative value from the data format defined here. Hereinafter, the Byte index value is always a relative value if not specified.

### 266 **3.3.2 Credentials**

267 The Marlin IPTV-ES Server SHALL have the following information which is used in  
268 Marlin IPTV-ES Service Protocols over SAC.

269

- 270 • *Credentials*: X.509 Key Pair used for Authentication and SAC establishment  
271 with Marlin IPTV-ES Device.

272

## 4 Marlin IPTV-ES SAC and Marlin IPTV-ES Service Protocols

This section defines communication protocols between a Marlin IPTV-ES Device and Marlin IPTV-ES Server.

### 4.1 Secure Authenticated Channel (SAC) Protocol

#### 4.1.1 Protocol overview

The outline of the message exchange sequence is shown in Figure 2. Marlin IPTV-ES Devices and Marlin IPTV-ES Servers SHALL use the Authentication and Key Exchange (AKE) protocol defined in [DTCP].

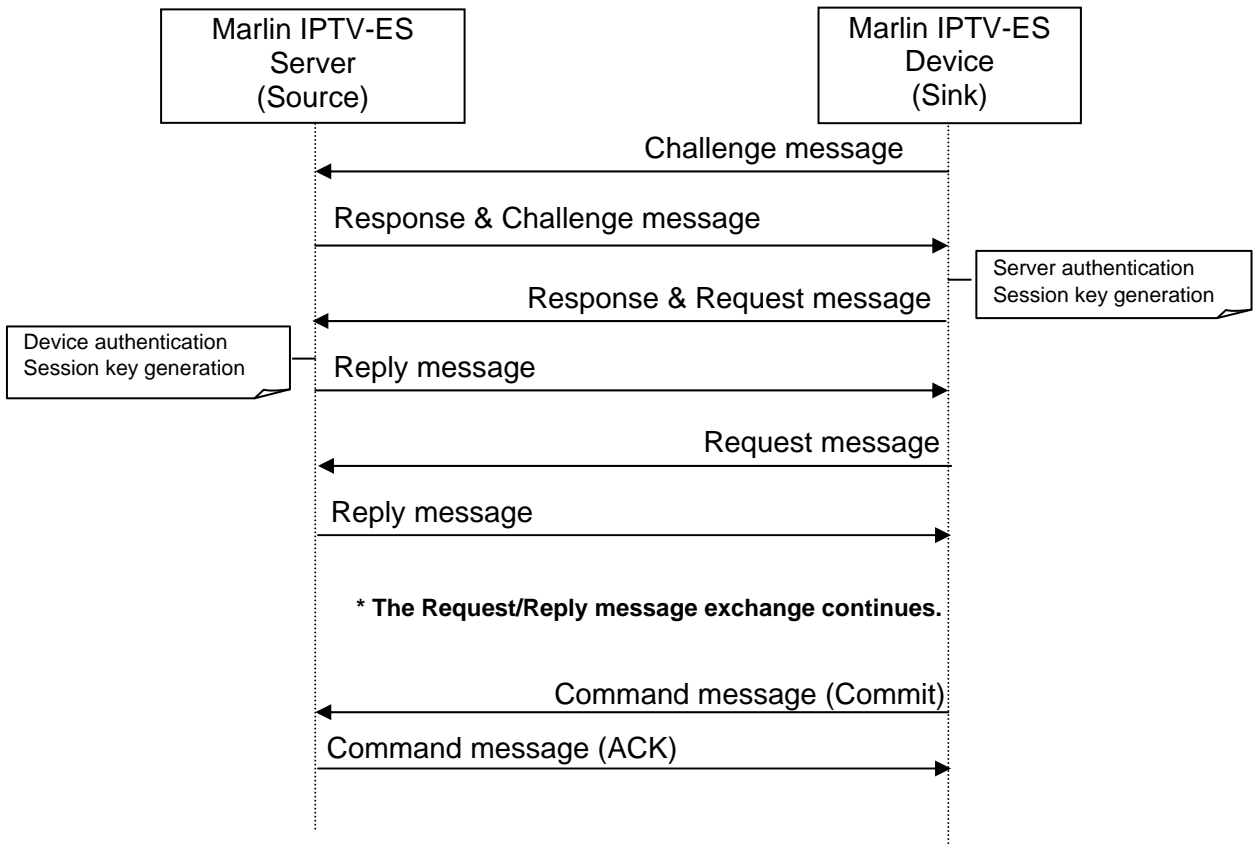


Figure 2: Outline of secure authenticated channel protocol

The Marlin IPTV-ES Device sends a Challenge message which includes Authentication information (random number and certificate) of the Marlin IPTV-ES Device to the Marlin IPTV-ES Server. Then the Marlin IPTV-ES Server sends, in response to the Challenge message, a Response & Challenge message which includes information for Authentication and key sharing of the Marlin IPTV-ES Server. Receiving this message, the Marlin IPTV-ES Device authenticates the Marlin IPTV-ES Server and generates a session key.

Message communications between the Marlin IPTV-ES Device and the Marlin IPTV-ES Server are performed by exchanging Request messages and Reply messages.

A Response & Request message is a Request message to which response data for Authentication and key sharing are affixed. The Marlin IPTV-ES Device sends this type of messages to the Marlin IPTV-ES Server in response to the received Response & Challenge message. Receiving the Response & Request message, the Marlin IPTV-ES Server authenticates the Marlin IPTV-ES Device and generates a session key.

ACK, ERROR, and Commit for the secure authenticated communications are performed with a Command message.

The Command message, after session key generation, is sent after being encrypted by a session key as an Encrypted command message, while a reply to a Challenge message and a Response & Request message from the Marlin IPTV-ES Server to the Marlin IPTV-ES Device is respectively sent as a Plain command message.

In addition to these messages, although not shown in Figure 2, the Marlin IPTV-ES Device can send a Response & Commit message to the Marlin IPTV-ES Server at the same timing as a Response & Request message to commit a SAC session.

Communication messages between a single pair of Marlin IPTV-ES Server and Marlin IPTV-ES Device SHALL be exchanged sequentially, not concurrently. Also a single pair of Marlin IPTV-ES Device and Marlin IPTV-ES Server SHALL use one Marlin IPTV-ES SAC session at the same time.

The Marlin IPTV-ES Device and the Marlin IPTV-ES Server SHALL terminate SAC connection after a string of continuous communications in accordance with the criteria described in section 4.1.4.10.

#### **4.1.1.1 Transaction Flag Management**

In the secure authenticated communications between a Marlin IPTV-ES Server and a Marlin IPTV-ES Device, both the Marlin IPTV-ES Device and Marlin IPTV-ES Server respectively manage a transaction flag so that the Marlin IPTV-ES Server can check the reception status on the Marlin IPTV-ES Device of a communication message which it sends as a response to the request sent by the Marlin IPTV-ES Device.

Timings of the status transition are shown in Figure 3.

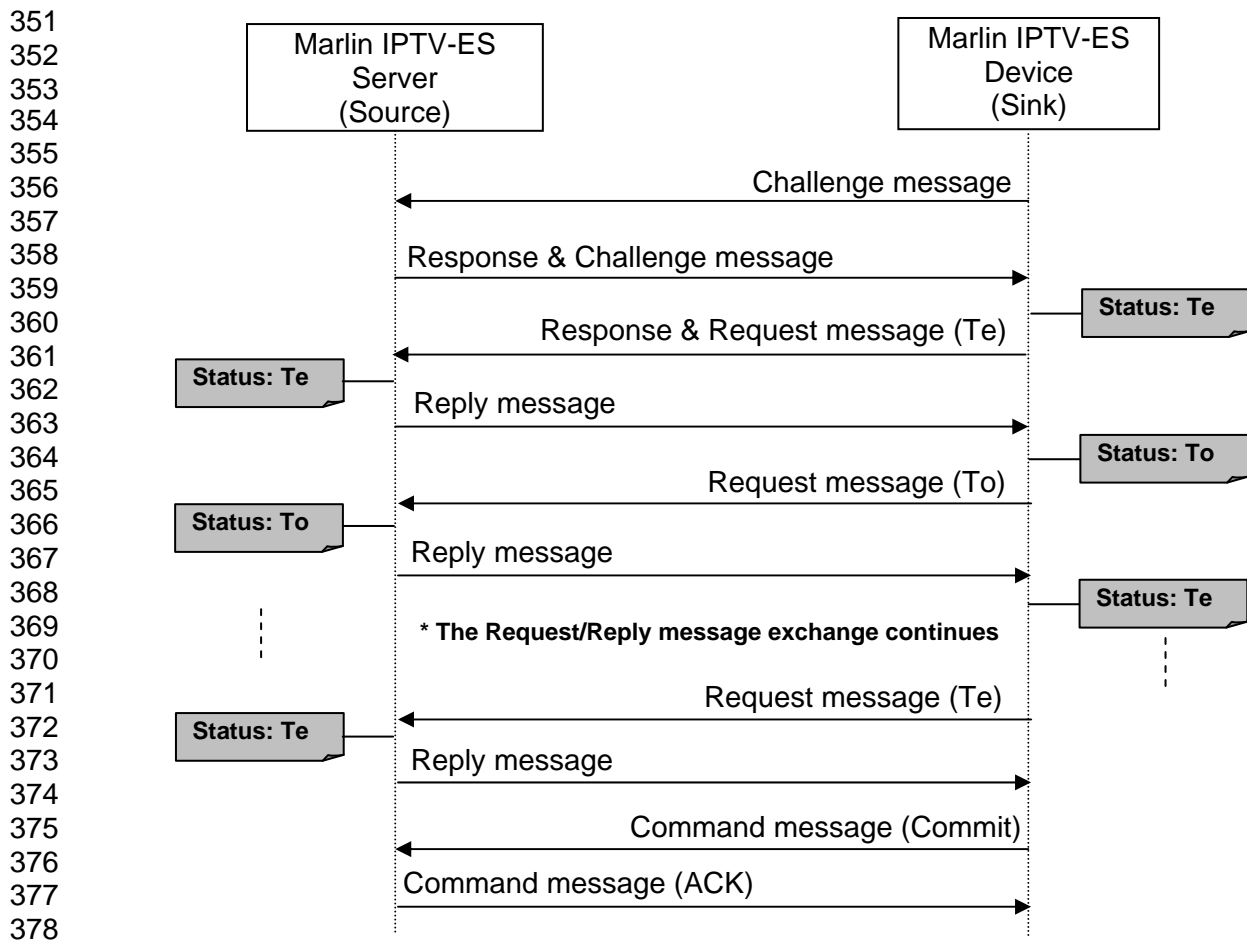


Figure 3: Status transition of secure authenticated communications

The Marlin IPTV-ES Server and the Marlin IPTV-ES Device manage the transaction flag after generating session keys, and it is inverted by the Marlin IPTV-ES Device. The transaction flag indicates even transaction "Te" and odd transaction "To". The Marlin IPTV-ES Device alternately inverts in the order of "Te" and "To" of the transaction flag every time it receives a Reply message from the Marlin IPTV-ES Server. The Marlin IPTV-ES Server acknowledges that the Marlin IPTV-ES Device has received a communication message with a change in status of the transaction flag which it receives.

In the event of communication error or accidental power down, at the subsequent connection the Marlin IPTV-ES Device notifies the reception status of the communication message at a communication cut-off by sending the stored transaction flag.

For example, in cases where count constraint is applied to the usage of a ContentKey, the IPTV-ES Server needs to know the precise number of times of which an IPTV-ES Device acquired the ContentKey necessary for that action. In such cases, the IPTV-ES Server can know whether or not an IPTV-ES Device acquired a ContentKey by performing as described below.

- If a communication cut-off occurs and an IPTV-ES Device fails to receive a Reply message which carries a ContentKey, the IPTV-ES Device sends its storing TransactionFlag to the IPTV-ES Server after re-establishing SAC connection.

- After re-establishing SAC connection, the IPTV-ES Server acknowledges that an IPTV-ES Device failed to receive the lastly sent Reply message, i.e. failed to acquire the ContentKey, by comparing the TransactionFlag sent by the IPTV-ES Device and the TransactionFlag that the IPTV-ES Server stores because, in such case, those TransactionFlags have different values.

Therefore, in order to prepare for events such as communication error or accidental power down, the Marlin IPTV-ES Device, which has the capability of handling the Get Permission Request message that requires TransactionFlag Management, processes the following for TransactionFlag Management.

- Storage of TransactionFlag and ContentKey.
- Deletion of stored TransactionFlag and status change of stored ContentKey.
- Sending of stored TransactionFlag as a Response & Commit message.

Accordingly, in order to prepare for events such as communication error or accidental power down, the Marlin IPTV-ES Server, which has the capability of handling the Get Permission Request message that requires TransactionFlag Management, processes the following for TransactionFlag Management.

- Storage of TransactionFlag.
- Deletion of stored TransactionFlag.
- Judgement of Reply message reception.

Conditions and details of each process for the Marlin IPTV-ES Device and the Marlin IPTV-ES Server are specified in section 4.1.4.11, while conditions for Get Permission Request messages of whether the TransactionFlag Management is required or not is specified in section 4.2.1.1.

#### **4.1.1.2 URI signature verification**

Before establishment of connection, the Marlin IPTV-ES Device SHALL verify the signature of the URI that indicates the network location of the Marlin IPTV-ES Server to whom the Marlin IPTV-ES Device is attempting to connect in accordance with section 4.1.4.12.

#### **4.1.2 Crypto Algorithm**

The following algorithms SHALL be used for authentication, key exchange, and message encryption.

- Authentication: EC-DSA (224 bits) with SHA-256.
- Key exchange: EC-DH (224 bits).
- Message encryption: AES (128 bits).

The EC-DSA signature generation algorithm for the SAC SHALL use ECSP-DSA and EMSA1 to which SHA-256 are applied, defined in the reference [IEEE1363-2000].

The EC-DSA signature verification algorithm for the SAC SHALL use ECVP-DSA and EMSA1 to which SHA-256 are applied, defined in the reference [IEEE1363-2000].

The EC-DH key sharing algorithm SHALL use the ECSVDP-DH primitive defined in the reference [IEEE1363-2000]. The session key used SHALL be made up of low order 128 bits on the x coordinate of the shared secret value generated by the EC-

DH.

The messages encryption SHALL use AES [AES] in CBC [AES-MODES] mode. The IV for the CBC mode SHALL be a value with all bits equal to zero. If a fraction is produced, OFB mode SHALL be used as described in [SCTE52].

### 4.1.3 Protocol

#### 4.1.3.1 Message header and payload

Each message SHALL include the following header.

- *ProtocolID*: ProtocolID is a constant byte value and identifies the head of a message.
- *ProtocolVersion*: ProtocolVersion determines types of payload that can be handled, data formats and cryptographic parameter to be used. The version is a two-byte value.
- *SenderID*: SenderID determines message sender. Device ID defined in [Starfish] §3.2.2 is used in the messages sent by the sink, and NULL value (00h) is used in the messages sent by the source.
- *PayloadType*: PayloadType identifies a message type.
- *PayloadSize*: PayloadSize defines the number of bytes of the payload data subsequent to the header.

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion (major and minor version number). "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType.
16-19	PayloadSize.

#### 4.1.3.2 Challenge message parameters

Challenge message SHALL include the header defined in section 4.1.3.1 followed by the parameters defined below.

- *SinkRandomNumber*: Random value generated by the sink.
- *SinkCertificateSize*: Size of certificate of the sink.
- *SinkCertificate*: The certificate of the sink. PKIPath defined in [X509Cor1] is used in the case that SinkCertificate contains certificate chain.

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0001h" SHALL be set for this specification.
16-19	PayloadSize.



Byte index	Description
20-35	SinkRandomNumber.
36-37	SinkCertificateSize.
38- (38+SinkCertificate Size-1)	SinkCertificate.

488

#### 489 4.1.3.3 Response & Challenge message parameters

490 Response & Challenge message SHALL include the header defined in section  
491 4.1.3.1 followed by the parameters defined below.

492

- 493 • *SourceRandomNumber*: Random value generated by the source.
- 494 • *SourceEC-DHPhase1Value*: The phase1 value of DH generated by the  
495 source. The value contains the x coordinate followed by the y coordinate.
- 496 • *Signature*: The signature with the source private key corresponding to the  
497 SourceCertificate which covers the concatenation of SinkRandomNumber  
498 and SourceEC-DHPhase1Value. The value contains c value followed by d  
499 value defined in [IEEE1363-2000].
- 500 • *SourceCertificateSize*: Size of certificate of the source.
- 501 • *SourceCertificate*: The certificate of the source. PKIPath defined in  
502 [X509Cor1] is used in the case that SourceCertificate contains certificate  
503 chain.

504

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0002h" SHALL be set for this specification.
16-19	PayloadSize.
20-35	SourceRandomNumber.
36-91	SourceEC-DHPhase1Value.
92-147	Signature.
148-149	SourceCertificateSize.
150- (150+SourceCertifi cateSize-1)	SourceCertificate.

505

#### 506 4.1.3.4 Response & Request message parameters

507 Response & Request message SHALL include the header defined in section 4.1.3.1  
508 followed by the parameters defined below.

509

- 510 • *SinkEC-DHPhase1Value*: The phase1 value of DH generated by the sink.  
511 The value contains the x coordinate followed by the y coordinate.
- 512 • *Signature*: The signature with the sink private key corresponding to the  
513 SinkCertificate which covers the concatenation of SourceRandomNumber  
514 and SinkEC-DHPhase1Value. The value contains c value followed by d value  
515 defined in [IEEE1363-2000].

- 516 • *EncryptedDataSize*: Size of encrypted data.
- 517 • *SequenceNumber*: 3-byte sequence number. The usage rules of the
- 518 sequence number are as follows.
- 519 ➤ The initial value of a sequence number SHALL be set to “1” each time a
- 520 SAC session is started. Therefore, SequenceNumber of a Response &
- 521 Request message or of a Response & Commit message SHALL be set to
- 522 “1”.
- 523 ➤ A sequence number is incremented by 1 when a message that contains
- 524 cipher text is sent, and can be incremented until the maximum value of
- 525  $(2^{24}-2)$ .
- 526 ➤ On receiving a message that contains cipher text, the sequence number
- 527 of the cipher text is checked to match the sequence number of the
- 528 receiver. If the two values match, the sequence number is incremented by
- 529 1.
- 530 • *TransactionFlag*: TransactionFlag is associated with the transaction currently
- 531 being processed. The flag corresponds to even transaction and odd
- 532 transaction respectively. “00h” for even transaction and “01h” for odd
- 533 transaction SHALL be set.
- 534 ➤ As specified in Figure 3, TransactionFlag of a Response & Request
- 535 message SHALL be set to “00h”.
- 536 • *Request*: The request message from the sink.
- 537 • *MessageDigest*: MessageDigest SHALL be calculated as described in section
- 538 4.1.2 over the clear-text message parameters (with the exclusion of the
- 539 MessageDigest) in their respective order.

540  
541 SequenceNumber, TransactionFlag, Request, and MessageDigest SHALL be  
542 encrypted with the session key as described in section 4.1.2.  
543

Byte index	Description
0-3	ProtocolID. ASCII value of “IPTV” SHALL be set for this specification.
4-5	ProtocolVersion. “0100h” SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. “0003h” SHALL be set for this specification.
16-19	PayloadSize.
20-75	SinkEC-DHPPhase1Value.
76-131	Signature.
132-135	EncryptedDataSize.
136-138	SequenceNumber.
139	TransactionFlag.
140- (140+RequestSize -1)	Request.
(140+RequestSize )- (171+RequestSize )	MessageDigest.

544

#### 4.1.3.5 Request message parameters

Request message SHALL include the header defined in section 4.1.3.1 followed by the parameters defined in section 4.1.3.4. SequenceNumber, TransactionFlag, Request, and MessageDigest SHALL be encrypted with the session key as described in section 4.1.2.

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0004h" SHALL be set for this specification.
16-19	PayloadSize.
20-23	EncryptedDataSize.
24-26	SequenceNumber.
27	TransactionFlag.
28- (28+RequestSize- 1)	Request.
(28+RequestSize)- (59+RequestSize)	MessageDigest.

#### 4.1.3.6 Reply message parameters

Reply message SHALL include the header defined in section 4.1.3.1 followed by the parameters defined below. Definitions of all parameters are the same as section 4.1.3.4 with the exception of parameters defined below.

- *TransactionFlagRecordFlag*: TransactionFlagRecordFlag indicates whether or not it is required to record the transaction identification flag in Non-volatile Memory Area on the sink. "00h" for indication of not to record and "01h" for indication of record SHALL be set, provided that "01h" SHALL be set if and only if both of the following two conditions are met, and otherwise "00h" SHALL be set.
  - Either of the following two Service Protocol message is set to Reply, defined below.
    - ✧ Get Permission Reply message which corresponds to a Get Permission Request message that requires TransactionFlag Management.
    - ✧ Packed Message Reply message which corresponds to a Packed Message Request message which packs one or more Get Permission Request messages that requires TransactionFlag Management.
  - A Content Key which requires TransactionFlag Management, e.g. count constraint is applied to the consumption of a Content Key, is set to Get Permission Reply message.
- *Reply*: The reply message from the source.

SequenceNumber, TransactionFlagRecordFlag, Reply, and MessageDigest SHALL be encrypted with the session key as described in section 4.1.2.

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0005h" SHALL be set for this specification.
16-19	PayloadSize.
20-23	EncryptedDataSize.
24-26	SequenceNumber.
27	TransactionFlagRecordFlag.
28-(28+ReplySize-1)	Reply.
(28+ReplySize)-(59+ReplySize)	MessageDigest.

579

#### 580 4.1.3.7 Plain command message parameters

581 This message SHALL be sent from the source to the sink before session key  
582 generation to notify an ERROR command in response to a Challenge message,  
583 Response & Request message or Response & Commit message. Plain command  
584 message SHALL include the header defined in section 4.1.3.1 followed by the  
585 parameters defined below.

586

- 587 • *Command*: ERROR (0002h) SHALL be set.
- 588 • *Status*: Error status defined in Table 4-1 SHALL be indicated.

589

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0006h" SHALL be set for this specification.
16-19	PayloadSize.
20-21	Command.
22-23	Status.

590

591 Status values are below.

592

Values	Details
8001h	Error other than the below.
8002h	Message error.
8003h	Authentication error.
8004h	Revoked.
8005h	Certificate issuer mismatch.

*Table 4-1: Status value of Plain command message*

593

#### 4.1.3.8 Encrypted command message parameters

Encrypted command message SHALL be used to send a command message after session key generation and is encrypted with the session key. Encrypted command message SHALL include the header defined in section 4.1.3.1 followed by the parameters defined below. Definitions of all parameters are the same as sections 4.1.3.4 and 4.1.3.7 with the exception of parameters defined below.

- *EncryptedDataSize*: Size of encrypted data.
- *TransactionFlag*: This TransactionFlag MAY be set to either of "00h" or "01h", regardless of even or odd transaction.
- *Command*: "ACK" (0001h), "ERROR" (0002h), or "Commit" (0003h) SHALL be set.
- *Status*: Status defined in Table 4-2 SHALL be returned.

SequenceNumber, TransactionFlag, Command, Status and MessageDigest SHALL be encrypted with the session key as described in section 4.1.2.

Byte index	Description
0-3	ProtocolID. ASCII value of "IPTV" SHALL be set for this specification.
4-5	ProtocolVersion. "0100h" SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. "0007h" SHALL be set for this specification.
16-19	PayloadSize.
20-23	EncryptedDataSize.
24-26	SequenceNumber.
27	TransactionFlag.
28-29	Command.
30-31	Status.
32-63	MessageDigest.

Status values are below.

Values	Details
0000h	Success.
8001h	Error other than the below.
8002h	Message error.
8003h	Authentication error.

Table 4-2: Status value of Encrypted command message

#### 4.1.3.9 Response & Commit message parameters

This message is used to send Commit command following SAC establishment. Response & Commit message SHALL include the header defined in section 4.1.3.1 followed by the parameters defined below. Definitions of all parameters are the same as section 4.1.3.4 with the exception of parameters defined below.

- *TransactionFlag*: This TransactionFlag MAY be set to either of “00h” or “01h”, regardless of even or odd transaction, in accordance with the “Sending of stored TransactionFlag” process specified in section 4.1.4.11.1.

SequenceNumber, TransactionFlag and MessageDigest SHALL be encrypted with the session key as described in section 4.1.2.

Byte index	Description
0-3	ProtocolID. ASCII value of “IPTV” SHALL be set for this specification.
4-5	ProtocolVersion. “0100h” SHALL be set for this specification.
6-13	SenderID.
14-15	PayloadType. “0008h” SHALL be set for this specification.
16-19	PayloadSize.
20-75	SinkEC-DHPhase1Value.
76-131	Signature.
132-135	EncryptedDataSize.
136-138	SequenceNumber.
139	TransactionFlag.
140-171	MessageDigest.

#### 4.1.4 Processing Rules

A Marlin IPTV-ES Server and a Marlin IPTV-ES Device SHALL verify SAC messages in accordance with the processing rules defined in the following subsections. If the verification defined in sections 4.1.4.1 through 4.1.4.9 resulted in a “verification failure”, the Marlin IPTV-ES Server or the Marlin IPTV-ES Device SHALL terminate the SAC connection as defined in section 4.1.4.10.

Note that verification is considered successful unless it is defined otherwise in the processing rules to follow.

##### 4.1.4.1 Message header and payload

Whenever receiving a SAC message, the Marlin IPTV-ES Server and the Marlin IPTV-ES Device SHALL verify its header, which is defined in section 4.1.3.1. If one or more parameters in the header of the SAC message received by the Marlin IPTV-ES Device are invalid values as shown in Table 4-3, the verification SHALL be deemed as “verification failure”. On the other hand, if one or more parameters in the header of the SAC message received by the Marlin IPTV-ES Server are invalid values as shown in Table 4-3, the verification SHALL be deemed as “verification failure” and the Status of the Plain command message or the Encrypted command message sent to the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).

Parameters	Invalid Values
ProtocolID	Other than the ASCII value of “IPTV” (49505456h).
ProtocolVersion	Other than “0100h”.
PayloadType	Other than values corresponding to the Currently Received Message specified in Table 4-4 for the Marlin IPTV-ES Server.

Parameters	Invalid Values
	Other than values corresponding to the Currently Received Message specified in Table 4-5 for the Marlin IPTV-ES Device.
PayloadSize	For fixed size messages (Plain command message, Encrypted command message and Response & Commit message), other than each of PayloadSizes defined in section 4.1.3.
	For variable size messages, other than ((received message size)-(message header size)).

*Table 4-3: Invalid parameter values of message header*

A valid message to be received depends on the message previously sent by the Marlin IPTV-ES Server or the Marlin IPTV-ES Device, respectively. Table 4-4 and Table 4-5 show the combinations of valid message sequences of the Marlin IPTV-ES Server and the Marlin IPTV-ES Device, respectively.

Note that a Response & Commit message is to be sent from a Marlin IPTV-ES Device to a Marlin IPTV-ES Server if and only if the Marlin IPTV-ES Device has the capability of handling the Get Permission Request message that requires TransactionFlag Management. Therefore, in other words, although the Response & Commit message is shown in Table 4-4 and Table 4-5, it is an invalid message to be sent and received, respectively by a Marlin IPTV-ES Device and by a Marlin IPTV-ES Server, when the Marlin IPTV-ES Device and the Marlin IPTV-ES Server have no capability of handling the Get Permission Request message that requires TransactionFlag Management.

Combina tion	Previously Sent Message	Currently Received Message
S-1	None (i.e. before starting SAC establishment.)	Challenge message
S-2	Encrypted command message (i.e. after termination of another SAC by sending ACK or ERROR.)	
S-3	Plain command message (i.e. after termination of another SAC.)	
S-4	Response & Challenge message	Response & Request message
S-5		Challenge message
S-6		Response & Commit message
S-7	Reply message	Request message
S-8		Encrypted command message
S-9		Challenge message

*Table 4-4: Valid message sequence of Marlin IPTV-ES Server*

Figure 4 shows a brief diagram of the Marlin IPTV-ES Server about combinations of valid messages that are previously sent to and currently received from the Marlin IPTV-ES Device. The numbers shown in Figure 4 corresponds to the message combination of Table 4-4, e.g. "S-4" means that Response & Challenge message is previously sent and Response & Request message is currently received.

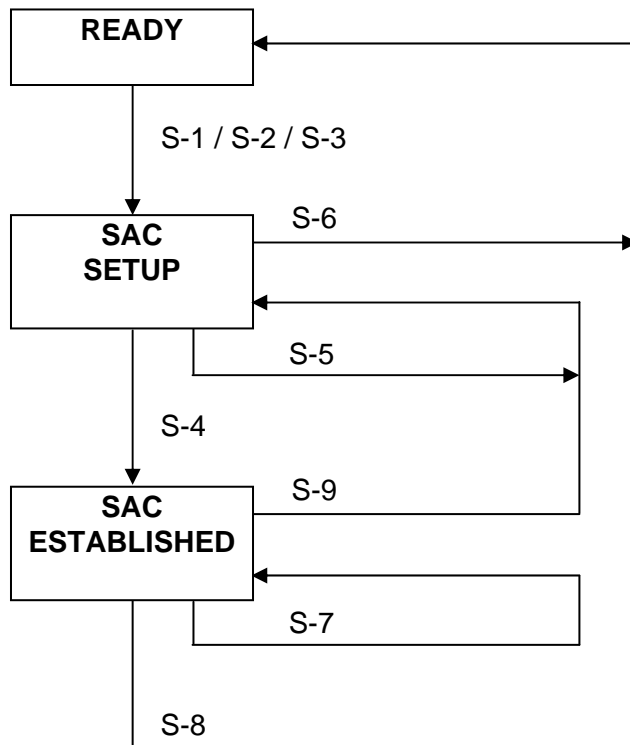


Figure 4: Valid message combinations of Marlin IPTV-ES Server (Informative)

Combina tion	Previously Sent Message	Currently Received Message
D-1	Challenge message	Response & Challenge message
D-2		Plain command message
D-3	Response & Request message	Reply message
D-4		Plain command message
D-5	Response & Commit message	Encrypted command message
D-6		Plain command message
D-7	Request message	Reply message
D-8		Encrypted command message
D-9	Encrypted command message	Encrypted command message

Table 4-5: Valid message sequence of Marlin IPTV-ES Device

Figure 5 shows a brief diagram of the Marlin IPTV-ES Device about combinations of valid messages that are previously sent to and currently received from the Marlin IPTV-ES Server. The numbers shown in Figure 5 corresponds to the message combination of Table 4-5, e.g. "D-1" means that Challenge message is previously sent and Response & Challenge message is currently received.



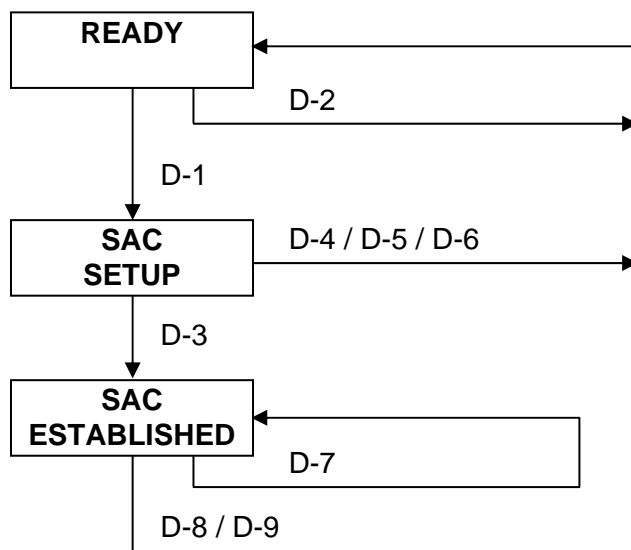


Figure 5: Valid message combinations of Marlin IPTV-ES Device (Informative)

#### 4.1.4.2 Challenge message parameters

Whenever receiving this Challenge message, the Marlin IPTV-ES Server SHALL verify its parameters as shown below.

- *SinkCertificateSize*
  - If SinkCertificateSize is other than (PayloadSize – 18 bytes), the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).
- *SinkCertificate*
  - Using the public keys of the Issuers of the certificates, Marlin IPTV-ES Server SHALL verify the signature of SinkCertificate. If failed, the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Authentication error” (8003h).
  - If path length validation is failed, the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Authentication error” (8003h).
  - Using a DRL, Marlin IPTV-ES Server SHALL check whether the Marlin IPTV-ES Device has been revoked or not. If the Marlin IPTV-ES Device is determined to have been revoked, the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Revoked” (8004h). The Marlin IPTV-ES Server SHALL use a DRL whose Signature is successfully verified in accordance with section 4.1.4.13.

#### 4.1.4.3 Response & Challenge message parameters

Whenever receiving this Response & Challenge message, the Marlin IPTV-ES Device SHALL verify its parameters as shown below.

754       • *Signature*  
755       ➤ Marlin IPTV-ES Device SHALL verify the Signature using the  
756       SourceCertificate. If failed, the verification SHALL be deemed as  
757       “verification failure”.  
758       • *SourceCertificateSize*  
759       ➤ If SourceCertificateSize is other than (PayloadSize – 130 bytes), the  
760       verification SHALL be deemed as “verification failure”.  
761       • *SourceCertificate*  
762       ➤ Using the public keys of the Issuers of the certificates, Marlin IPTV-ES  
763       Device SHALL verify the signature of SourceCertificate. If failed, the  
764       verification SHALL be deemed as “verification failure”.  
765       ➤ Marlin IPTV-ES Device SHALL verify the validity of SourceCertificate. If it  
766       is expired, the verification SHALL be deemed as “verification failure”.  
767       ➤ If path length validation is failed, the verification SHALL be deemed as  
768       “verification failure”.  
769       ➤ Using a CRL, Marlin IPTV-ES Device SHALL check whether the Marlin  
770       IPTV-ES Server has been revoked or not. If the Issuer and the serial  
771       number of the Source Certificate matches to those listed in the CRL, the  
772       Marlin IPTV-ES Server is determined to be revoked, and, therefore, the  
773       verification SHALL be deemed as “verification failure”. The Marlin IPTV-  
774       ES Device SHALL use a CRL whose Signature is successfully verified in  
775       accordance with section 4.1.4.14.  
776

#### 777   **4.1.4.4 Response & Request message parameters**

778   Whenever receiving this Response & Request message, the Marlin IPTV-ES Server  
779   SHALL verify its parameters as shown below.  
780

781       • *Signature*  
782       ➤ Marlin IPTV-ES Server SHALL verify the Signature using the  
783       SinkCertificate. If failed, the verification SHALL be deemed as “verification  
784       failure” and the Status of the message sent to the Marlin IPTV-ES Device  
785       SHALL be set to “Authentication error” (8003h).  
786       • *EncryptedDataSize*  
787       ➤ If EncryptedDataSize is other than (PayloadSize – 116 bytes), the  
788       verification SHALL be deemed as “verification failure” and the Status of  
789       the message sent to the Marlin IPTV-ES Device SHALL be set to  
790       “Message error” (8002h).  
791       • *SequenceNumber*  
792       ➤ If SequenceNumber is other than 1, the verification SHALL be deemed as  
793       “verification failure” and the Status of the message sent to the Marlin  
794       IPTV-ES Device SHALL be set to “Message error” (8002h).  
795       • *TransactionFlag*  
796       ➤ If TransactionFlag is not even (other than “00h”), the verification SHALL  
797       be deemed as “verification failure” and the Status of the message sent to  
798       the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).  
799       • *MessageDigest*  
800       ➤ If the hash value of the decrypted Response & Request message  
801       excluding this MessageDigest is other than the value of this  
802       MessageDigest, the verification SHALL be deemed as “verification failure”  
803       and the Status of the message sent to the Marlin IPTV-ES Device SHALL  
804       be set to “Authentication error” (8003h).  
805

#### 4.1.4.5 Request message parameters

Whenever receiving this Request message, the Marlin IPTV-ES Server SHALL verify its parameters as shown below.

- *EncryptedDataSize*
  - If EncryptedDataSize is other than (PayloadSize – 4 bytes), the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).
- *SequenceNumber*
  - If SequenceNumber is other than the one retaining, or equal to or more than ( $2^{24}-3$ ), the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).
- *TransactionFlag*
  - If TransactionFlag is same as the one retaining, the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).
- *MessageDigest*
  - If the hash value of the decrypted Request message excluding this MessageDigest is other than the value of this MessageDigest, the verification SHALL be deemed as “verification failure” and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to “Authentication error” (8003h).

#### 4.1.4.6 Reply message parameters

Whenever receiving this Reply message, the Marlin IPTV-ES Device SHALL verify its parameters as shown below.

- *EncryptedDataSize*
  - If EncryptedDataSize is other than (PayloadSize – 4 bytes), the verification SHALL be deemed as “verification failure”.
- *SequenceNumber*
  - If SequenceNumber is other than the one retaining, the verification SHALL be deemed as “verification failure”.
- *MessageDigest*
  - If the hash value of the decrypted Reply message excluding this MessageDigest is other than the value of this MessageDigest, the verification SHALL be deemed as “verification failure”.

In addition to the rules described above, the Marlin IPTV-ES Device SHALL verify its parameters as shown below, if it has the capability of handling the Get Permission Request message that requires TransactionFlag Management.

- *TransactionFlagRecordFlag*
  - If both of the following two conditions are met, the verification SHALL be deemed as “verification failure”.
    - ✧ Just before receiving the Reply message, the Marlin IPTV-ES Device sent a message (Response & Request message or Request message) which carries either of the following two messages to the Marlin IPTV-ES Server, which is the sender of the Reply message.
      - Get Permission Request message that requires TransactionFlag Management.

- 859                   o Packed Message Request message which packs one or more Get  
860                   Permission Request messages that requires TransactionFlag  
861                   Management.
- 862           ✧ TransactionFlagRecordFlag is neither “00h” nor “01h”.

863

864 Conversely, the Marlin IPTV-ES Device MAY interpret the value of  
865 TransactionFlagRecordFlag as if it is set to “not to record” (00h), regardless of its  
866 value, if it has no capability of handling the Get Permission Request message that  
867 requires TransactionFlag Management.

868

#### 869 **4.1.4.7 Plain command message parameters**

870 Whenever receiving this Plain command message, the Marlin IPTV-ES Device  
871 SHALL verify its parameters as shown below.

872

- 873       • *Command*
  - 874       ➤ If Command is other than “ERROR”, the verification SHALL be deemed  
875       as “verification failure”.
- 876       • *Status*
  - 877       ➤ If Status is other than “Error other than below” (8001h), “Message error”  
878       (8002h), “Authentication error” (8003h) or “Revoked” (8004h), the  
879       verification SHALL be deemed as “verification failure”.

880

#### 881 **4.1.4.8 Encrypted command message parameters**

882 Whenever receiving this Encrypted command message, the Marlin IPTV-ES Device  
883 SHALL verify its parameters as shown below.

884

- 885       • *EncryptedDataSize*
  - 886       ➤ Marlin IPTV-ES Device SHALL verify the EncryptedDataSize as specified  
887       in section 4.1.4.6.
- 888       • *SequenceNumber*
  - 889       ➤ Marlin IPTV-ES Device SHALL verify the SequenceNumber as specified  
890       in section 4.1.4.6.
- 891       • *Command*
  - 892       ➤ If Command of this message received after sending an Encrypted  
893       Command message to the Marlin IPTV-ES Server is other than “ERROR”  
894       or “ACK”, the verification SHALL be deemed as “verification failure”.
  - 895       ➤ If Command of this message received after sending a Response &  
896       Commit message to the Marlin IPTV-ES Server is other than “ACK”, the  
897       verification SHALL be deemed as “verification failure”.
  - 898       ➤ If Command of this message received before sending an Encrypted  
899       Command message or a Response & Commit message to the Marlin  
900       IPTV-ES Server is other than “ERROR”, the verification SHALL be  
901       deemed as “verification failure”.
- 902       • *Status*
  - 903       ➤ If Status of this message, which its Command is “ERROR”, is other than  
904       “Error other than below” (8001h), “Message error” (8002h) or  
905       “Authentication error” (8003h), the verification SHALL be deemed as  
906       “verification failure”.
  - 907       ➤ If Status of this message, which its Command is “ACK”, is other than  
908       “Success” (0000h), the verification SHALL be deemed as “verification  
909       failure”.

910

911       • *MessageDigest*  
912       ➤ If the hash value of the decrypted Encrypted command message  
913       excluding this MessageDigest is other than the value of this  
914       MessageDigest, the verification SHALL be deemed as “verification failure”.  
915  
916 Whenever receiving this Encrypted command message, the Marlin IPTV-ES Server  
917 SHALL verify its parameters as shown below.  
918  
919       • *EncryptedDataSize*  
920       ➤ If EncryptedDataSize is other than (PayloadSize – 4 bytes), the  
921       verification SHALL be deemed as “verification failure” and the Status of  
922       the message sent to the Marlin IPTV-ES Device SHALL be set to  
923       “Message error” (8002h).  
924       • *SequenceNumber*  
925       ➤ If SequenceNumber is other than the one retaining, the verification  
926       SHALL be deemed as “verification failure” and the Status of the message  
927       sent to the Marlin IPTV-ES Device SHALL be set to “Message error”  
928       (8002h).  
929       • *Command*  
930       ➤ If Command is other than “Commit”, the verification SHALL be deemed as  
931       “verification failure” and the Status of the message sent to the Marlin  
932       IPTV-ES Device SHALL be set to “Message error” (8002h).  
933       • *Status*  
934       ➤ If Status is other than “Success” (0000h), the verification SHALL be  
935       deemed as “verification failure” and the Status of the message sent to the  
936       Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).  
937       • *MessageDigest*  
938       ➤ If the hash value of the decrypted Encrypted command message  
939       excluding this MessageDigest is other than the value of this  
940       MessageDigest, the verification SHALL be deemed as “verification failure”  
941       and the Status of the message sent to the Marlin IPTV-ES Device SHALL  
942       be set to “Authentication error” (8003h).  
943

#### 944   **4.1.4.9 Response & Commit message parameters**

945 Whenever receiving this Response & Commit message, the Marlin IPTV-ES Server  
946 SHALL verify its parameters as shown below.  
947

948       • *Signature*  
949       ➤ Marlin IPTV-ES Server SHALL verify the Signature as specified in section  
950       4.1.4.4.  
951       • *EncryptedDataSize*  
952       ➤ Marlin IPTV-ES Server SHALL verify the EncryptedDataSize as specified  
953       in section 4.1.4.4.  
954       • *SequenceNumber*  
955       ➤ Marlin IPTV-ES Server SHALL verify the SequenceNumber as specified  
956       in section 4.1.4.4.  
957       • *TransactionFlag*  
958       ➤ If TransactionFlag is neither “00h” nor “01h”, the verification SHALL be  
959       deemed as “verification failure” and the Status of the message sent to the  
960       Marlin IPTV-ES Device SHALL be set to “Message error” (8002h).  
961       • *MessageDigest*  
962       ➤ If the hash value of the decrypted Response & Commit message  
963       excluding this MessageDigest is other than the value of this

964 MessageDigest, the verification SHALL be deemed as “verification failure”  
965 and the Status of the message sent to the Marlin IPTV-ES Device SHALL  
966 be set to “Authentication error” (8003h).  
967

#### 968 **4.1.4.10 SAC termination**

##### 969 **4.1.4.10.1 SAC termination for Marlin IPTV-ES Devices**

970 The Marlin IPTV-ES Device SHALL terminate SAC connection after sending an  
971 Encrypted command message with a Command of “Commit” or a Response &  
972 Commit message respectively to a Marlin IPTV-ES Server, and receiving an  
973 Encrypted command message with a Command of “ACK” from the Marlin IPTV-ES  
974 Server.

975  
976 However, in the following four cases, the Marlin IPTV-ES Device SHALL terminate  
977 SAC connection without sending an Encrypted command message with a Command  
978 of “Commit” or a Response & Commit message respectively to the Marlin IPTV-ES  
979 Server.

- 980  
981 • “Verification failure” occurred for parameters of SAC messages received from  
982 a Marlin IPTV-ES Server in accordance with the processing rules described in  
983 section 4.1.4.
- 984 • Marlin IPTV-ES Device received a Plain command message or an Encrypted  
985 command message, respectively with a Command of “ERROR”, from a Marlin  
986 IPTV-ES Server.
- 987 • “Verification failure” occurred for Service Protocol parameters of a Reply  
988 message which carries either of the following two messages, and of which the  
989 TransactionFlagRecordFlag is set to “record” (01h).
  - 990 ➤ Get Permission Reply message which corresponds to a Get Permission  
991 Request message that requires TransactionFlag Management.
  - 992 ➤ Packed Message Reply message which corresponds to a Packed  
993 Message Request message which packs one or more Get Permission  
994 Request messages that requires TransactionFlag Management.
- 995 • Marlin IPTV-ES Device could not receive and quitted waiting for a message  
996 from a Marlin IPTV-ES Server.

997  
998 When Marlin IPTV-ES Device terminated the SAC connection with a Marlin IPTV-ES  
999 Server in events such as accidental power down, the Marlin IPTV-ES Device SHALL  
1000 NOT send an Encrypted command message with a Command of “Commit” to the  
1001 Marlin IPTV-ES Server.

1002

##### 1003 **4.1.4.10.2 SAC termination for Marlin IPTV-ES Servers**

1004 The Marlin IPTV-ES Server SHALL terminate SAC connection only for the following  
1005 four cases:

- 1006  
1007 • Marlin IPTV-ES Server received an Encrypted command message with a  
1008 Command of “Commit” or a Response & Commit message respectively from  
1009 a Marlin IPTV-ES Device, and sent an Encrypted command message with a  
1010 Command of “ACK” to the Marlin IPTV-ES Device.
- 1011 • “Verification failure” occurred for parameters of messages received from a  
1012 Marlin IPTV-ES Device in accordance with the processing rules described in  
1013 section 4.1.4 and sent a Plain command message or an Encrypted command

1014 message, respectively with a Command of "ERROR", to the Marlin IPTV-ES  
 1015 Device.  
 1016 • Marlin IPTV-ES Server could not receive and quitted waiting for messages  
 1017 from Marlin IPTV-ES Device.  
 1018 • Marlin IPTV-ES Server received a second Challenge message from an  
 1019 already connected Marlin IPTV-ES Device before terminating SAC  
 1020 connection as shown in the three cases above.  
 1021 ➤ In this case, Marlin IPTV-ES Server SHALL terminate the SAC connection  
 1022 initiated by the previously received Challenge message before receiving  
 1023 the second Challenge message.  
 1024

#### 1025 **4.1.4.11 TransactionFlag**

1026 The Marlin IPTV-ES Device and Server, which have the capability of handling the  
 1027 Get Permission Request message that requires TransactionFlag Management,  
 1028 SHALL process the following procedures after succeeding the verification, i.e.  
 1029 "verification succeeded" occurred during the verification, in sections 4.1.4.1 through  
 1030 4.1.4.9.  
 1031

##### 1032 **4.1.4.11.1 Processing Rules for Marlin IPTV-ES Devices**

1033 (1) Storage of TransactionFlag and ContentKey  
 1034 When receiving a Reply message, the Marlin IPTV-ES Device SHALL store the  
 1035 TransactionFlag and the ContentKey if all of the following three conditions are met.  
 1036  
 1037 • Just before receiving the Reply message, the Marlin IPTV-ES Device sent a  
 1038 message (Response & Request message or Request message) which carries  
 1039 either of the following messages to the Marlin IPTV-ES Server, which is the  
 1040 sender of the Reply message.  
 1041 ➤ Get Permission Request message that requires TransactionFlag  
 1042 Management.  
 1043 ➤ Packed Message Request message which packs one or more Get  
 1044 Permission Request messages that requires TransactionFlag  
 1045 Management.  
 1046 • The TransactionFlagRecordFlag of the received Reply message is set to  
 1047 "record" (01h).  
 1048 • Succeeding the verification, i.e. "verification succeeded" occurred during the  
 1049 verification, for Service Protocol parameters of the received Reply message  
 1050 and the Status of the Service Protocol message is set to "Success" (0000h).  
 1051

1052 If all of these three conditions are met, the Marlin IPTV-ES Device SHALL store the  
 1053 TransactionFlag and the ContentKey in accordance with the following two  
 1054 procedures.  
 1055

1056 • Store the TransactionFlag of the message (Response & Request message or  
 1057 Request message) sent just before receiving the Reply message to the Marlin  
 1058 IPTV-ES Server, which is the sender of the Reply message, to Persistent  
 1059 Storage paired with an identifier of the Marlin IPTV-ES Server, and maintain  
 1060 the status of them.  
 1061 ➤ When pairing with the TransactionFlag, the attribute value of the subject  
 1062 of the Service Key included in a SourceCertificate in the Response &  
 1063 Challenge message lastly received from the Marlin IPTV-ES Server,  
 1064 SHALL be used as the identifier.

- 1065       • Store the received ContentKey of either of the following two messages to  
1066 Persistent Storage as an unavailable state paired with the TransactionFlag  
1067 stored in the aforementioned procedure, and maintain the status of it.  
1068       ➤ Get Permission Reply message which corresponds to the Get Permission  
1069 Request message that requires TransactionFlag Management.  
1070       ➤ Get Permission Reply message which corresponds to the Get Permission  
1071 Request message that requires TransactionFlag Management which is  
1072 packed in the Packed Message Reply message.  
1073

1074 However, if any of these two procedures cannot be completed, the Marlin IPTV-ES  
1075 Device SHALL set back the TransactionFlag and the ContentKey stored on  
1076 Persistent Storage to the state before storing them.  
1077

1078 (2) Deletion of stored TransactionFlag and status change of stored ContentKey  
1079 When receiving an Encrypted command message with a Command of "ACK" or a  
1080 Reply message, the Marlin IPTV-ES Device SHALL delete the stored  
1081 TransactionFlag and SHALL change the status of the stored ContentKey if the  
1082 following condition is met. Note that the Marlin IPTV-ES Device SHALL change the  
1083 status of the stored ContentKey if and only if the following condition is met while the  
1084 Marlin IPTV-ES Device MAY delete the stored TransactionFlag even if the following  
1085 condition is not met. Conditions and processing rules except for the following of when  
1086 and how the Marlin IPTV-ES Device MAY delete the stored TransactionFlag are not  
1087 to be specified in this specification.  
1088

- 1089       • When the Marlin IPTV-ES Device receives either of the following messages  
1090 and a TransactionFlag is stored on Persistent Storage paired with the  
1091 identifier of the Marlin IPTV-ES Server, which is the sender of the received  
1092 message.  
1093       ➤ An Encrypted command message with a Command of "ACK".  
1094       ➤ A Reply message.  
1095

1096 If this condition is met, the Marlin IPTV-ES Device SHALL delete the stored  
1097 TransactionFlag and change the status of the stored ContentKey in accordance with  
1098 the following two procedures.  
1099

- 1100       • Delete the TransactionFlag stored on Persistent Storage paired with an  
1101 identifier of the Marlin IPTV-ES Server which is the sender of the received  
1102 message, provided that the Marlin IPTV-ES Device MAY delete the identifier  
1103 of the Marlin IPTV-ES Server stored on Persistent Storage paired with the  
1104 deleted TransactionFlag.  
1105       • Change the status of the ContentKey stored on Persistent Storage paired  
1106 with the deleted TransactionFlag from an unavailable state to an available  
1107 state.  
1108

1109 However, if any of these two procedures cannot be completed, the Marlin IPTV-ES  
1110 Device SHALL set back the TransactionFlag and the ContentKey stored on  
1111 Persistent Storage to the state before deleting the TransactionFlag and changing the  
1112 state of the ContentKey.  
1113

1114 If all of the aforementioned conditions in this section are met, in other words, if the  
1115 Marlin IPTV-ES Device receives the Reply message that meets all of the three  
1116 conditions specified under the subsection of "Storage of TransactionFlag and  
1117 ContentKey", and if the TransactionFlag is stored on Persistent Storage paired with  
1118 the identifier of the Marlin IPTV-ES Server which is the sender of the Reply message,



1119 the Marlin IPTV-ES Device SHALL make the status of the TransactionFlag, the  
1120 ContentKey and the paired identifier of the Marlin IPTV-ES Server to be in the same  
1121 status when the Marlin IPTV-ES Device first completed the set of two procedures  
1122 specified under this subsection of “Deletion of stored TransactionFlag and status  
1123 change of stored ContentKey” and then completed the set of two procedures  
1124 specified under the subsection of “Storage of TransactionFlag and ContentKey”.

1125  
1126 (3) Sending of stored TransactionFlag as a Response & Commit message  
1127 When receiving a Response & Challenge message, the Marlin IPTV-ES Device  
1128 SHALL send the stored TransactionFlag to the Marlin IPTV-ES Server, if the  
1129 following condition is met.

- 1130  
1131 • A TransactionFlag is stored on Persistent Storage paired with an identifier of  
1132 the Marlin IPTV-ES Server, which is the sender of the received Response &  
1133 Challenge message.

1134  
1135 If this condition is met, the Marlin IPTV-ES Device SHALL send the stored  
1136 TransactionFlag with a Response & Commit message to the Marlin IPTV-ES Server.  
1137

#### 1138 **4.1.4.11.2 Processing Rules for Marlin IPTV-ES Server**

1139 (1) Storage of TransactionFlag  
1140 When sending a Reply message, the Marlin IPTV-ES Server SHALL store the  
1141 TransactionFlag if the following condition is met.

- 1142  
1143 • The TransactionFlagRecordFlag of the sent Reply message is set to “record”  
1144 (01h).

1145  
1146 If this condition is met, the Marlin IPTV-ES Server SHALL store the TransactionFlag  
1147 in accordance with the following procedure.

- 1148  
1149 • Store the TransactionFlag of the message (Response & Request message or  
1150 Request message) received just before sending the Reply message from the  
1151 Marlin IPTV-ES Device, which is the receiver of the Reply message, to  
1152 Persistent Storage paired with an identifier of the Marlin IPTV-ES Device.  
1153 When a TransactionFlag is already stored, the stored TransactionFlag SHALL  
1154 be updated with the one of the most recently received.
  - 1155 ➤ When pairing with the TransactionFlag, the attribute value of the subject  
1156 of the Client Key included in a SinkCertificate in the Challenge message  
1157 lastly received from the Marlin IPTV-ES Device, in other words Device ID,  
1158 SHALL be used as the identifier.

1159  
1160 (2) Deletion of stored TransactionFlag  
1161 When sending an Encrypted command message with a Command of “ACK” or a  
1162 Reply message, the Marlin IPTV-ES Server SHALL delete the stored  
1163 TransactionFlag if either of the following two conditions is met. Note that the Marlin  
1164 IPTV-ES Server MAY delete the stored TransactionFlag even if none of the following  
1165 two conditions is met. Conditions and processing rules except for the following of  
1166 when and how the Marlin IPTV-ES Server MAY delete the stored TransactionFlag  
1167 are not to be specified in this specification.

- 1168  
1169 • The TransactionFlagRecordFlag of the sent Reply message is set to “not to  
1170 record” (00h).
- 1171 • The Command of the sent Encrypted command message is set to “ACK”.

1172 If either of these two conditions is met, the Marlin IPTV-ES Server SHALL delete the  
1173 stored TransactionFlag in accordance with the following procedure.  
1174

- 1175 • Delete the TransactionFlag stored on Persistent Storage paired with an  
1176 identifier of the Marlin IPTV-ES Device which is the receiver of the Encrypted  
1177 command message or a Reply message, provided that the Marlin IPTV-ES  
1178 Server MAY delete the identifier of the Marlin IPTV-ES Device paired with the  
1179 TransactionFlag to delete.  
1180

#### 1181 (3) Judgement of Reply message reception

1182 After sending a Reply message to a Marlin IPTV-ES Device which a TransactionFlag  
1183 is stored on Persistent Storage paired with and after receiving a message from the  
1184 same Marlin IPTV-ES Device, the Marlin IPTV-ES Server SHALL judge the reception  
1185 of the Reply message, in other words the sent ContentKey, most recently sent to the  
1186 Marlin IPTV-ES Device in accordance with the following criteria.  
1187

- 1188 • The Marlin IPTV-ES Server SHALL determine that the sent Reply message is  
1189 received by the Marlin IPTV-ES Device, if it receives either of the following  
1190 three messages.
  - 1191 ➤ A Request message.
  - 1192 ➤ An Encrypted command message with a Command of "Commit".
  - 1193 ➤ A Response & Commit message which the TransactionFlag of the  
1194 received Response & Commit message is same as the stored one.
- 1195 • The Marlin IPTV-ES Server SHALL determine that the sent Reply message is  
1196 not received by the Marlin IPTV-ES Device, if it receives either of the  
1197 following two messages.
  - 1198 ➤ A Response & Request message.
  - 1199 ➤ A Response & Commit message which the TransactionFlag of the  
1200 received Response & Commit message is other than the stored one.  
1201

#### 1202 4.1.4.12 URI signature verification

1203 Before establishment of connection, the Marlin IPTV-ES Device SHALL verify the  
1204 signature of the URI that indicates the network location of the Marlin IPTV-ES Server  
1205 to whom the Marlin IPTV-ES Device is attempting to connect in accordance with the  
1206 following:  
1207

- 1208 • The signature of the URI of the Marlin IPTV-ES Server SHALL be generated  
1209 and verified as the same manner as for the signature used in SAC  
1210 Authentication, which is defined in section 4.1.2.
- 1211 • The format of the certificate used for this signature verification of the URI of  
1212 the Marlin IPTV-ES Server SHALL be specified in PKIPath and SHALL same  
1213 as the SourceCertificate used in SAC Authentication, which is defined in  
1214 section 4.1.3.3.
- 1215 • The certificate used for this signature verification of the URI of the Marlin  
1216 IPTV-ES Server SHALL be verified as the same manner as for  
1217 SourceCertificate of Response & Challenge message described in section  
1218 4.1.4.3.  
1219

1220 Note that the format of the signature, the format of the information to be signed, and  
1221 the behaviour of a Marlin IPTV-ES Device based on the result of this signature  
1222 verification are assumed to be defined outside of this specification.  
1223

#### 4.1.4.13 DRL parameters

The Marlin IPTV-ES Server SHALL perform the following process against a DRL used for checking the occurrence of revocation.

- *Signature*
  - Marlin IPTV-ES Server SHALL verify the Signature.
- *nextUpdate*
  - Marlin IPTV-ES Server SHALL check whether it is passed nextUpdate or not by using its Trusted Time.

#### 4.1.4.14 CRL parameters

The Marlin IPTV-ES Device SHALL perform the following process against a CRL used for checking the occurrence of revocation.

- *Signature*
  - Marlin IPTV-ES Device SHALL verify the Signature.
- *nextUpdate*
  - Marlin IPTV-ES Device SHALL check whether it is passed nextUpdate or not by using its Trusted Time.

## 4.2 Marlin IPTV-ES Service Protocols over SAC

This section defines Marlin IPTV-ES Service Protocols in SAC. Each of Request messages defined in this section corresponds to the Request of Response & Request message defined in section 4.1.3.4 or the Request of Request message defined in section 4.1.3.5.

Each of Response messages defined in this section corresponds to the Reply of Reply message defined in section 4.1.3.6.

### 4.2.1 Get Permission Protocol

#### 4.2.1.1 Overview

This is a simple REQUEST/RESPONSE protocol via SAC. The Marlin IPTV-ES Device requests a certain action to the Marlin IPTV-ES Server. When the REQUEST is permitted, the Marlin IPTV-ES Server returns the Content Key, or the Work Key and its related Work Key ID, and/or other related information as Status Extension. The requested permission is identified with UsageRuleReference which is information delivered to a Marlin IPTV-ES Device in advance of sending the request. The delivery method is outside of the scope of this specification.

As described in section 2.1, there are cases where the technology defined in this specification is used for key delivery for conditional access services. In such cases, Get Permission Request messages and Get Permission Reply messages for EXPORT and/or RECORD actions with Indirect Key Delivery defined in the following section 4.2.1 MAY NOT be exchanged between Marlin IPTV-ES Devices and Marlin IPTV-ES Servers. In cases where those messages are not exchanged, EXPORT or RECORD action with Indirect Key Delivery MAY be deemed to be granted and performed based on RenderingObligation instead of ExportInfo or RecordInfo, respectively. Whether those messages are exchanged or not is assumed to be determined outside of this specification.

Note that whether or not the TransactionFlag Management is required for the Get Permission Request message depends on the action requested from the Marlin IPTV-ES Device. When the Marlin IPTV-ES Device requests for EXPORT action, the TransactionFlag Management is required for the Get Permission Request message, while the TransactionFlag Management is not required when RECORD action is requested. When the Marlin IPTV-ES Device requests for EXTRACT action, there are cases where the TransactionFlag Management is required and cases where not required. Whether the TransactionFlag Management is required or not is assumed to be determined outside of this specification.

#### 4.2.1.2 Get Permission Request parameters

Get Permission Request message SHALL include the parameters defined below.

- *ProtocolVersion*: The version identifier of the protocol defined in this specification.
- *MessageID*: The message identifier of the Get Permission Request message in this specification.
- *DeviceInformation*: The Device Information of the Marlin IPTV-ES Device defined in section 3.2.2.
- *UsageRuleReference*: The identifier for the requesting usage rules of the content.
- *ActionID*: The identifier of the requested action in the Marlin IPTV-ES Device. In this version of Marlin IPTV-ES specification, the following ActionIDs are defined:
  - 01h: This value indicates that the Marlin IPTV-ES Device requests to “**EXTRACT** with Simple Key Delivery”.
  - 02h: This value indicates that the Marlin IPTV-ES Device requests to “**EXTRACT** with Indirect Key Delivery”. Once RECORD action and EXTRACT action are permitted for the content, this action is not needed to render the content which is recorded on the Protected Storage.
  - 03h: This value indicates that the Marlin IPTV-ES Device requests to “**EXTRACT** with Direct Key Delivery”.
  - 10h: This value indicates that the Marlin IPTV-ES Device requests to “**EXPORT** to a certain Media for Copy with Direct Key Delivery”. When this value is specified, the exporting Media type SHALL be specified by ActionParameter.
  - 11h: This value indicates that the Marlin IPTV-ES Device requests to “**EXPORT** to a certain Media for Move with Direct Key Delivery”. When this value is specified, the exporting Media type SHALL be specified by ActionParameter.
  - 12h: This value indicates that the Marlin IPTV-ES Device requests to “**EXPORT** to a certain Media with Indirect Key Delivery”. When this value is specified, the exporting Media type SHALL be specified by ActionParameter.
  - 20h: This value indicates that the Marlin IPTV-ES Device requests to “**RECORD** to a certain Media with Indirect Key Delivery”. When this value is specified, the recording Media type SHALL be specified by ActionParameter.
- *ActionParameter*: The parameter pertaining to the requested ActionID.
  - For the case when ActionID is set to “EXTRACT with Simple Key Delivery” (01h), “EXTRACT with Indirect Key Delivery” (02h), or “EXTRACT with Direct Key Delivery” (03h), *ActionParameter* SHALL be set to “FFh”.

- 1326           ➤ For the case when ActionID is set to “EXPORT for Copy with Direct Key  
1327           Delivery” (10h), “EXPORT for Move with Direct Key Delivery” (11h), or  
1328           “EXPORT with Indirect Key Delivery” (12h), the parameters defined in  
1329           [MEXP] SHALL be set, with the exception of the case of Re-Transmission  
1330           of Digital Broadcasting over IP network in Japan. In such case,  
1331           *ActionParameter* SHALL be set to the values corresponding to the target  
1332           copy protection systems in accordance with the rules stated in  
1333           government or quasi-government regulations.  
1334           ➤ For the case when ActionID is set to “RECORD with Indirect Key  
1335           Delivery” (20h), the following parameter is defined in this specification:  
1336               • 01h: RECORD to Protected Storage.  
1337           • *SpecificCRID*: The identifier of the Marlin IPTV-ES specific Compliance Rules  
1338           with which the Marlin IPTV-ES Device complies. If no specific compliance rule  
1339           is applicable, this value SHALL be set to “FFFFh”. Otherwise, the value  
1340           specified in each specific compliance rule SHALL be set.  
1341           • *PrivateDataTag*: The identifier of the usage of PrivateData. In this  
1342           specification, the following PrivateDataTags are defined:  
1343               ➤ 00h: PrivateData not in use. When this value is specified, all bytes of  
1344               PrivateData SHALL be set to “00h”.  
1345               ➤ 01h: Removable media ID.  
1346               ➤ 02h–7Fh: Reserved for common use.  
1347               ➤ 80h–FFh: For private use.  
1348

Byte index	Description
0-1	ProtocolVersion. “0100h” SHALL be set for this specification.
2-3	MessageID. “0001h” SHALL be set for this specification.
4-15	DeviceInformation.
16-31	UsageRuleReference.
32	ActionID.
33	ActionParameter.
34-35	SpecificCRID.
36	PrivateDataTag.
37-63	PrivateData.

1349

#### 1350 4.2.1.3 Get Permission Reply parameters

1351 Get Permission Reply message SHALL include the parameters defined below.  
1352

- 1353           • *ProtocolVersion*: The version identifier of the protocol defined in this  
1354           specification.  
1355           • *MessageID*: The message identifier of the Get Permission Reply message in  
1356           this specification.  
1357           • *Status*: When the request is not authorized/failed for some reasons, the  
1358           status defined in Table 4-6 is returned.  
1359           • *StatusExtension*: The data structure used to convey extended information of  
1360           the status. When the request is failed, i.e. when the Status is other than  
1361           “Success” (0000h), this parameter SHALL NOT be returned. In this version of  
1362           Marlin IPTV-ES specification, the following StatusExtensions are defined:  
1363               ➤ For the case when ActionID is set to “EXTRACT with Simple Key  
1364               Delivery” (01h), the value defined in section 4.2.1.4 is returned.  
1365               ➤ For the case when ActionID is set to “EXTRACT with Indirect Key

1366 Delivery" (02h), the value defined in section 4.2.1.5 is returned.  
 1367 ➤ For the case when ActionID is set to "EXTRACT with Direct Key Delivery"  
 1368 (03h), the value defined in section 4.2.1.6 is returned.  
 1369 ➤ For the case when ActionID is set to "EXPORT for Copy with Direct Key  
 1370 Delivery" (10h) or "EXPORT for Move with Direct Key Delivery" (11h), the  
 1371 value defined in section 4.2.1.7 is returned.  
 1372 ➤ For the case when ActionID is set to "EXPORT with Indirect Key Delivery"  
 1373 (12h), the value defined in section 4.2.1.8 is returned.  
 1374 ➤ For the case when ActionID is set to "RECORD with Indirect Key  
 1375 Delivery" (20h), the value defined in section 4.2.1.9 is returned.  
 1376

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-3	MessageID. "0002h" SHALL be set for this specification.
4-5	Status.
6-(6+size of StatusExtension-1)	StatusExtension.

1377  
 1378 Status values are below.  
 1379

Values	Details
0000h	Success.
8001h	Error other than the below.
8002h	Version error.
8003h	Device Information error.
8004h	UsageRuleReference error.
8005h	ActionID error.
8006h	ActionParameter error.
8007h	Action denied.

Table 4-6: Status value of Get Permission Reply

1380

#### 1381 4.2.1.4 StatusExtension for "EXTRACT with Simple Key Delivery"

1382 StatusExtension of Get Permission Reply message, which is a reply to Get  
 1383 Permission Request message with an ActionID of "EXTRACT with Simple Key  
 1384 Delivery" (01h), SHALL include the parameters defined below.  
 1385

- 1386 • *ContentKey*: The Content Key corresponding to the requested Usage Rule  
 1387 Reference is returned.
- 1388 • *ExtractInfoSize*: Size of ExtractInfo.
- 1389 • *ExtractInfo*: The value defined in section 4.2.1.4.1 is returned.  
 1390

Byte index	Description
0-15	ContentKey.
16-17	ExtractInfoSize.
18- (18+ExtractInfoSiz e-1)	ExtractInfo.

1391

#### 4.2.1.4.1 ExtractInfo

ExtractInfo consists of validity period and obligation information for the EXTRACT action. This information is returned from Marlin IPTV-ES Server in the case when Marlin IPTV-ES Device requests EXTRACT action with Simple, Indirect and Direct Key Deliveries.

- *NotBefore*: Date before which the action is denied is returned. The value is specified as 32-bit unsigned integer value, representing the number of minutes elapsed since January 1, 1970 00:00:00. The value is a UTC date. The value SHALL be smaller than the value of its corresponding NotAfter, except for the special cases defined hereinbelow.
- *NotAfter*: Date after which the action is denied is returned. The value is specified as 32-bit unsigned integer value, representing the number of minutes elapsed since January 1, 1970 00:00:00. The value is a UTC date. The value SHALL be larger than the value of its corresponding NotBefore, except for the special cases defined hereinbelow.
- *RenderingObligation*: Output Control Information is returned. The Output Control Information is essential information of descriptors, which are concatenation of six fields of DTCP\_descriptor defined in [DTCP] Appendix B and a field defined in this specification, are returned. "0000h" SHALL be set whenever this RenderingObligation is returned as a reply against a request with ActionID of "EXTRACT with Indirect Key Delivery" (02h), and this parameter SHALL NOT be used as Output Control Information.

The special cases of interpretations for a validity period by combinations of NotBefore and NotAfter are followings:

- When all bytes for NotBefore or NotAfter are set to "FFh", this means that there is no restriction on the validity period of the Content Key or the Work Key.
- When all bytes for NotBefore and NotAfter are set to "00h", this means that the Content Key is only allowed to be cached during the rendering of the content. The definition of "during the rendering" above is a subject to be defined in the Marlin compliance rules. "00000000h" SHALL be set whenever this ExtractInfo is returned as a reply against a request with ActionID of "EXTRACT with Simple Key Delivery" (01h) and SHALL NOT be set whenever returned as a reply against a request with ActionID of "EXTRACT with Indirect Key Delivery" (02h) or "EXTRACT with Direct Key Delivery" (03h).

Byte index	Description
0–3	NotBefore.
4–7	NotAfter.
8–9	RenderingObligation.

The Output Control Information is followings:

- *DigitalRecordingControlData*: Information of control copy generation and coded, defined as DTCP\_CCI in [DTCP] Appendix B, is returned.
- *CopyControlType*: Information whether the output is encoded or not to serial interface is returned. The value of this parameter is defined in Table 4-7.
- *APSCControlData*: Information of control analog output copy, defined as APS in [DTCP] Appendix B, is returned.

- *ImageConstraintToken*: Information whether the image quality of video signal output is constrained is returned. The value of this parameter is defined as Image\_Constraint\_Token in [DTCP] Appendix B.
- *RetentionMode*: Information whether temporal accumulation is possible or not is returned. The value of this parameter is defined as Retention\_Move\_mode in [DTCP] Appendix B.
- *RetentionState*: Information of the allowable time of temporal accumulation after the reception of contents is returned. The value of this parameter is defined as Retention\_State in [DTCP] Appendix B.
- *EncryptionMode*: Information whether the output of high-speed digital interface is protected or not is returned. The value of this parameter is defined as EPN in [DTCP] Appendix B.

Bit index	Description
0–1	DigitalRecordingControlData.
2–3	CopyControlType.
4–5	APSControlData.
6	ImageConstraintToken.
7	RetentionMode.
8–10	RetentionState.
11	EncryptionMode.
12–15	UserDefined.

CopyControlType values are below.

Values	Description
00	Undefined.
01	Output by encoding to serial interface. (Encoding method specified by service provider is used.)
10	Undefined.
11	Output by not encoding to serial interface.

Table 4-7: CopyControlType

#### 4.2.1.5 StatusExtension for “EXTRACT with Indirect Key Delivery”

StatusExtension of Get Permission Reply message, which is a reply to Get Permission Request message with an ActionID of “EXTRACT with Indirect Key Delivery” (02h), SHALL include the parameters defined below.

- *WorkKey*: The Work Key corresponding to the requested Usage Rule Reference is returned.
- *WorkKeyID*: The identifier of WorkKey. The value defined in section 4.2.1.5.1 is returned.
- *SubscriptionTierBits*: A 64-bits-long bit string that specifies the subscription of a Marlin IPTV-ES Device corresponding to the WorkKeyID is returned. The bits that only correspond to the subscription of a Marlin IPTV-ES Device SHALL be set to “1b”.
- *ExtractInfoSize*: Size of ExtractInfo.
- *ExtractInfo*: The value defined in section 4.2.1.4.1 is returned.



Byte index	Description
0-15	WorkKey.
16-21	WorkKeyID.
22-23	PrivateData.
24-31	SubscriptionTierBits.
32-33	ExtractInfoSize.
34- (34+ExtractInfoSize-1)	ExtractInfo.

1474

#### 1475 4.2.1.5.1 *WorkKeyID*

1476 WorkKeyID consists of ServiceProviderID, ReservedByte, WorkKeyManagementID  
 1477 and WorkKeyVersion. This information is returned from Marlin IPTV-ES Server in the  
 1478 case when Marlin IPTV-ES Device requests EXTRACT, EXPORT or RECORD action  
 1479 with Indirect Key Delivery.

1480

- 1481 • *ServiceProviderID*: An identifier to specify a service provider is returned. The  
 1482 service provider-specific value obtained from MTMO SHALL be set.
- 1483 • *WorkKeyManagementID*: An identifier of a unit for managing Work Keys is  
 1484 returned. A unique value for each Tier Bits within a single service provider  
 1485 SHALL be set. Therefore, the value of this WorkKeyManagementID SHALL  
 1486 NOT be changed even when values of WorkKey and SubscriptionTierBits,  
 1487 and ExtractInfo, ExportInfo or RecordInfo are to be changed.
- 1488 • *WorkKeyVersion*: A value that specifies the version of Work Key for a single  
 1489 WorkKeyManagementID is returned. The Work Key whose LSB value of this  
 1490 WorkKeyVersion is "1b" is called "Work Key (odd)" and "0b" called "Work Key  
 1491 (even)", respectively. The initial value SHALL be "01h" and SHALL be  
 1492 incremented one at a time when the Work Key is renewed. The value SHALL  
 1493 be set to "00h" if the Work Key is renewed when this value is "FFh".

1494

Byte index	Description
0-1	ServiceProviderID.
2	ReservedByte. "00h" SHALL be set for this specification.
3-4	WorkKeyManagementID.
5	WorkKeyVersion.

1495

#### 1496 4.2.1.6 StatusExtension for "EXTRACT with Direct Key Delivery"

1497 StatusExtension of Get Permission Reply message, which is a reply to Get  
 1498 Permission Request message with an ActionID of "EXTRACT with Direct Key  
 1499 Delivery" (03h), SHALL include the parameters defined below.

1500

- 1501 • *ContentKey*: The Content Key corresponding to the requested Usage Rule  
 1502 Reference is returned.
- 1503 • *ExtractInfoSize*: Size of ExtractInfo.
- 1504 • *ExtractInfo*: The value defined in section 4.2.1.4.1 is returned.

1505

Byte index	Description
0-15	ContentKey.
16-17	ExtractInfoSize.

Byte index	Description
18- (18+ExtractInfoSize-1)	ExtractInfo.

1506

#### 1507 4.2.1.7 StatusExtension for “EXPORT with Direct Key Delivery”

1508 StatusExtension of Get Permission Reply message, which is a reply to Get  
 1509 Permission Request message with an ActionID of “EXPORT for Copy with Direct Key  
 1510 Delivery” (10h) or “EXPORT for Move with Direct Key Delivery” (11h), SHALL include  
 1511 the parameters defined below.

1512

- 1513 • *ContentKey*: The Content Key corresponding to the requested Usage Rule  
 1514 Reference is returned.
- 1515 • *ExportInfoSize*: Size of ExportInfo.
- 1516 • *ExportInfo*: The corresponding Action Result Parameter defined in [MEXP]  
 1517 SHALL be set.

1518

Byte index	Description
0-15	ContentKey.
16-17	ExportInfoSize.
18- (18+ExportInfoSize-1)	ExportInfo.

1519

#### 1520 4.2.1.8 StatusExtension for “EXPORT with Indirect Key Delivery”

1521 StatusExtension of Get Permission Reply message, which is a reply to Get  
 1522 Permission Request message with an ActionID of “EXPORT with Indirect Key  
 1523 Delivery” (12h), SHALL include the parameters defined below.

1524

- 1525 • *WorkKeyID*: The identifier of WorkKey. The value defined in section 4.2.1.5.1  
 1526 is returned.
- 1527 • *ExportInfoSize*: Size of ExportInfo.
- 1528 • *ExportInfo*: The corresponding Action Result Parameter defined in [MEXP]  
 1529 SHALL be set.

1530

Byte index	Description
0-5	WorkKeyID.
6-15	PrivateData.
16-17	ExportInfoSize.
18- (18+ExportInfoSize-1)	ExportInfo.

1531

#### 1532 4.2.1.9 StatusExtension for “RECORD with Indirect Key Delivery”

1533 StatusExtension of Get Permission Reply message, which is a reply to Get  
 1534 Permission Request message with an ActionID of “RECORD with Indirect Key  
 1535 Delivery” (20h), SHALL include the parameters defined below.

1536

- 1537 • *WorkKeyID*: The identifier of WorkKey. The value defined in section 4.2.1.5.1
- 1538 is returned.
- 1539 • *RecordInfoSize*: Size of RecordInfo.
- 1540 • *RecordInfo*: Output Control Information defined in section 4.2.1.4.1 is
- 1541 returned.
- 1542

Byte index	Description
0-5	WorkKeyID.
6-15	PrivateData.
16-17	RecordInfoSize.
18- (18+RecordInfoSize-1)	RecordInfo.

1543

## 1544 4.2.2 Get Trusted Time Protocol

### 1545 4.2.2.1 Overview

1546 This is a simple REQUEST/RESPONSE protocol via SAC. The Marlin IPTV-ES  
 1547 Device requests time information to the Marlin IPTV-ES Server. When the REQUEST  
 1548 is permitted, the Marlin IPTV-ES Server returns the time information.  
 1549

### 1550 4.2.2.2 Get Trusted Time Request parameters

1551 Get Trusted Time Request message SHALL include the parameters defined below.

1552

- 1553 • *ProtocolVersion*: The version identifier of the protocol defined in this
- 1554 specification.
- 1555 • *MessageID*: The message identifier of the Get Trusted Time Request
- 1556 message in this specification.
- 1557

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-3	MessageID. "0003h" SHALL be set for this specification.

1558

### 1559 4.2.2.3 Get Trusted Time Reply parameters

1560 Get Trusted Time Reply message SHALL include the parameters defined below.

1561

- 1562 • *ProtocolVersion*: The version identifier of the protocol defined in this
- 1563 specification.
- 1564 • *MessageID*: The message identifier of the Get Trusted Time Reply message
- 1565 in this specification.
- 1566 • *Status*: When the request is not authorized/failed for some reasons, the
- 1567 status defined in Table 4-8 is returned.
- 1568 • *Datetime*: When the request is authorized, the time information is returned.
- 1569 The value is specified as 32-bit unsigned integer value, representing the
- 1570 number of minutes elapsed since January 1, 1970 00:00:00. The value is a
- 1571 UTC date. When the request is failed, NULL value (00h) is returned.
- 1572

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-3	MessageID. "0004h" SHALL be set for this specification.
4-5	Status.
6-9	Datetime.

Status values are below.

Values	Details
0000h	Success.
8001h	Error other than the below.
8002h	Version error.
8008h	Action failed.

Table 4-8: Status value of Get Trusted Time Reply

## 4.2.3 Packed Message Protocol

### 4.2.3.1 Overview

This is a simple REQUEST/RESPONSE protocol via SAC. The Marlin IPTV-ES Device packs some Request messages defined section 4.2.1 and section 4.2.2 into a Packed Message Request, and sends to the Marlin IPTV-ES Server. Then the Marlin IPTV-ES Server also packs corresponding Replies into a Packed Message Reply and returns to the Marlin IPTV-ES Device.

### 4.2.3.2 Packed Message Request parameters

Packed Message Request message SHALL include the parameters defined below.

- *ProtocolVersion*: The version identifier of the protocol defined in this specification.
- *MessageID*: The message identifier of the Packed Message Request message in this specification.
- *NumberOfRequestMessageBoxes*: Number of RequestMessageBoxes in the Packed Message Request message.
- *RequestMessageBoxList*: The list of RequestMessageBox.

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-3	MessageID. "0101h" SHALL be set for this specification.
4-5	NumberOfRequestMessageBoxes.
6-(6+ size of RequestMessageBoxList -1)	RequestMessageBoxList.

The RequestMessageBox is defined as follows.

Byte index	Description
0-1	RequestMessageSize.
2-(2+RequestMessageSize-1)	RequestMessage.

1599

#### 1600 4.2.3.3 Packed Message Reply parameters

1601 Packed Message Reply message SHALL include the parameters defined below.

1602

- 1603 • *ProtocolVersion*: The version identifier of the protocol defined in this
- 1604 specification.
- 1605 • *MessageID*: The message identifier of the Packed Message Reply message
- 1606 in this specification.
- 1607 • *Status*: When the Packed Message Request fails, for example message
- 1608 format error, the error status defined in Table 4-9 is returned.
- 1609 • *NumberOfReplyMessageBoxes*: Number of ReplyMessageBoxes in the
- 1610 Packed Message Reply message. When the request is failed, i.e. when the
- 1611 Status is other than "Success" (0000h), zero (0000h) is returned.
- 1612 • *ReplyMessageBoxList*: The list of ReplyMessageBox. When the request is
- 1613 failed, i.e. when the Status is other than "Success" (0000h), this parameter
- 1614 SHALL NOT be returned.

1615

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-3	MessageID. "0102h" SHALL be set for this specification.
4-5	Status.
6-7	NumberOfReplyMessageBoxes.
8-(8+ size of ReplyMessageBox List -1)	ReplyMessageBoxList. The order of ReplyMessageBox SHALL correspond with that of RequestMessageBox in the Packed Message Request.

1616

1617 The ReplyMessageBox is defined as follows.

1618

Byte index	Description
0-1	ReplyMessageSize.
2-(2+ReplyMessageSize-1)	ReplyMessage.

1619

1620 Status values are below.

1621

1622

1623

1624

1625

1626

Values	Details
0000h	Success.
8001h	Error other than the below.
8002h	Version error.
8009h	Message format error.

Table 4-9: Status value of Packed Message Reply

## 4.2.4 Processing Rules

The Marlin IPTV-ES Server SHALL send a Reply message that corresponds to the MessageID of the Request message received from a Marlin IPTV-ES Device. When the Marlin IPTV-ES Server has only the capability of handling requests for Simple Key Delivery, the Marlin IPTV-ES Server SHALL send a Get Permission Reply message with the Status of "Error other than below" (8001h) if the MessageID of the Request message is other than "Get Permission Request message" (0001h) and "Packed Message Request message" (0101h). Otherwise, the Marlin IPTV-ES Server SHALL send a Get Permission Reply message with the Status of "Error other than below" (8001h) if the MessageID of the Request message is other than the values defined in sections 4.2.1.2, 4.2.2.2 and 4.2.3.2.

The following subsections define the how Marlin IPTV-ES Service Protocol messages are verified by the Marlin IPTV-ES Server and/or the Marlin IPTV-ES Device. Whenever receiving a Marlin IPTV-ES Service Protocol message, the Marlin IPTV-ES Server and the Marlin IPTV-ES Device SHALL verify them in accordance with the processing rules defined in the following subsections.

Note that, if not explicitly stated, verifications defined in this section SHALL be deemed as "verification succeeded" when it does not fall under the condition of "verification failure".

### 4.2.4.1 Get Permission Request parameters

Whenever receiving this Get Permission Request message, the Marlin IPTV-ES Server SHALL verify its parameters as shown below.

- *ProtocolVersion*
  - If ProtocolVersion is other than "0100h", the verification SHALL be deemed as "verification failure" and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to "Version error" (8002h).
- *DeviceInformation*
  - If Marlin IPTV-ES SpecificationVersionMajor is other than "01h" or if Marlin IPTV-ES SpecificationVersionMinor is other than "00h", the verification SHALL be deemed as "verification failure" and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to "Device Information error" (8003h).
- *UsageRuleReference*
  - If Marlin IPTV-ES Server does not permit the request for Content Key or Work Key, which corresponds to this UsageRuleReference, the verification SHALL be deemed as "verification failure" and the Status of the message sent to the Marlin IPTV-ES Device SHALL be set to "Action denied" (8007h).
- *ActionID*
  - If ActionID is other than the value defined section 4.2.1.2 or if it is a value of request which the Marlin IPTV-ES Server does not have the capability

1672 of handling, the verification SHALL be deemed as “verification failure” and  
 1673 the Status of the message sent to the Marlin IPTV-ES Device SHALL be  
 1674 set to “ActionID error” (8005h).

- 1675 • *ActionParameter*
  - 1676 ➤ Except for the case when ActionID is set to “EXTRACT with Simple Key  
 1677 Delivery” (01h), “EXTRACT with Indirect Key Delivery” (02h), or  
 1678 “EXTRACT with Direct Key Delivery” (03h), the Marlin IPTV-ES Server  
 1679 SHALL verify its corresponding ActionParameter. If ActionParameter  
 1680 other than the value defined in section 4.2.1.2 or if it is a value of request  
 1681 which the Marlin IPTV-ES Server does not have the capability of handling,  
 1682 the verification SHALL be deemed as “verification failure” and the Status  
 1683 of the message sent to the Marlin IPTV-ES Device SHALL be set to  
 1684 “ActionParameter error” (8006h).
- 1685 • *SpecificCRID*
  - 1686 ➤ The Marlin IPTV-ES Server SHALL verify this SpecificCRID in  
 1687 accordance with Marlin IPTV-ES specific Compliance Rules, and if  
 1688 “verification failure” occurs, the Status of the message sent to the Marlin  
 1689 IPTV-ES Device SHALL be set to “Error other than below” (8001h).
- 1690 • *PrivateDataTag*
  - 1691 ➤ The Marlin IPTV-ES Server SHALL verify this PrivateDataTag in  
 1692 accordance with Marlin IPTV-ES specific Compliance Rules, and if  
 1693 “verification failure” occurs, the Status of the message sent to the Marlin  
 1694 IPTV-ES Device SHALL be set to “Error other than below” (8001h).
- 1695 • *PrivateData*
  - 1696 ➤ When PrivateDataTag is set to “00h”, the Marlin IPTV-ES Server SHALL  
 1697 verify this PrivateData, and if any byte of PrivateData is other than “00h”,  
 1698 the verification SHALL be deemed as “verification failure” and the Status  
 1699 of the message sent to the Marlin IPTV-ES Device SHALL be set to “Error  
 1700 other than below” (8001h).

1701

#### 1702 4.2.4.2 Get Permission Reply parameters

1703 Whenever receiving this Get Permission Reply message, the Marlin IPTV-ES Device  
 1704 SHALL verify its parameters as shown below.

1705

- 1706 • *ProtocolVersion*
  - 1707 ➤ If ProtocolVersion is other than “0100h”, the verification SHALL be  
 1708 deemed as “verification failure”.
- 1709 • *Status*
  - 1710 ➤ If Status is other than the value defined in Table 4-6, the verification  
 1711 SHALL be deemed as “verification failure”.

1712

#### 1713 4.2.4.3 StatusExtension for “EXTRACT with Simple Key Delivery”

1714 Whenever receiving a Reply message with this StatusExtention, the Marlin IPTV-ES  
 1715 Device SHALL verify its parameters as shown below.

1716

- 1717 • *ExtractInfoSize*
  - 1718 ➤ If ExtractInfoSize is other than “000Ah”, the verification SHALL be  
 1719 deemed as “verification failure”.

1720

#### 1721 4.2.4.4 StatusExtension for “EXTRACT with Indirect Key Delivery”

1722 Whenever receiving a Get Permission Reply message with this StatusExtension, the  
1723 Marlin IPTV-ES Device SHALL verify its parameters as shown below.

- 1724 • *WorkKeyID*
  - 1725 ➤ If the third significant byte of WorkKeyID, i.e. ReservedByte, is other than
  - 1726 “00h”, the verification SHALL be deemed as “verification failure”.
- 1727 • *ExtractInfoSize*
  - 1728 ➤ Marlin IPTV-ES Device SHALL verify the ExtractInfoSize as specified in
  - 1729 section 4.2.4.3.

1730  
1731 In addition to the verification described above, the Marlin IPTV-ES Device SHALL  
1732 update its retaining set of WorkKey, WorkKeyID, SubscriptionTierBits, PrivateData  
1733 and ExtractInfo with the received set of them when receiving a Reply message with a  
1734 Work Key which the values of the following parameters are the same as the retaining  
1735 ones, provided that Marlin IPTV-ES Device MAY skip to update the retaining  
1736 parameters when they are equivalent to the received ones.

- 1737 • ServiceProviderID
- 1738 • ReservedByte
- 1739 • WorkKeyManagementID
- 1740 • Odd/Even of Work Key (the LSB value of WorkKeyVersion)

##### 1744 4.2.4.4.1 *ExtractInfo*

1745 Whenever receiving this ExtractInfo within a reply against a request with ActionID of  
1746 “EXTRACT with Indirect Key Delivery” (02h), the Marlin IPTV-ES Device SHALL  
1747 verify its parameters as shown below.

- 1748 • *NotBefore/NotAfter*
  - 1749 ➤ If NotBefore is equal to or larger than NotAfter, except for cases when
  - 1750 NotBefore is “FFFFFFFFh”, the verification SHALL be deemed as
  - 1751 “verification failure”.
  - 1752 ➤ If NotBefore or NotAfter is “00000000h”, the verification SHALL be
  - 1753 deemed as “verification failure”.

#### 1756 4.2.4.5 StatusExtension for “EXTRACT with Direct Key Delivery”

1757 Whenever receiving a Get Permission Reply message with this StatusExtension, the  
1758 Marlin IPTV-ES Device SHALL verify its parameters as shown below.

- 1759 • *ExtractInfoSize*
  - 1760 ➤ Marlin IPTV-ES Device SHALL verify the ExtractInfoSize as specified in
  - 1761 section 4.2.4.3.

##### 1764 4.2.4.5.1 *ExtractInfo*

1765 Whenever receiving this ExtractInfo within a reply against a request with ActionID of  
1766 “EXTRACT with Direct Key Delivery” (03h), the Marlin IPTV-ES Device SHALL verify  
1767 its parameters as shown below.

- 1768 • *NotBefore/NotAfter*
  - 1769 ➤ Marlin IPTV-ES Device SHALL verify NotBefore and NotAfter as specified
  - 1770 in section 4.2.4.4.1.



1772 In addition to the verification described above, the Marlin IPTV-ES Device SHALL  
1773 verify whether values of NotBefore and NotAfter satisfy the following conditions by  
1774 using its Trusted Time. If and only if this verification succeeds, the Content Key  
1775 related to the set of NotBefore and NotAfter is deemed to be valid.

1776

- 1777 • The value of NotBefore is no larger than the Trusted Time that the Marlin  
1778 IPTV-ES Device retains, except for when this value is "FFFFFFFFh".
- 1779 • The value of NotAfter is no smaller than the Trusted Time that the Marlin  
1780 IPTV-ES Device retains, except for when this value is "FFFFFFFFh".

1781

#### 1782 **4.2.4.6 StatusExtension for "EXPORT with Direct Key Delivery"**

1783 Whenever receiving a Get Permission Reply message with this StatusExtension, the  
1784 Marlin IPTV-ES Device SHALL verify its parameters as shown below.

1785

- 1786 • *ExportInfoSize*
  - 1787 ➤ If ExportInfoSize is other than (Get Permission Reply size – 24 bytes), the  
1788 verification SHALL be deemed as "verification failure".

1789

#### 1790 **4.2.4.7 StatusExtension for "EXPORT with Indirect Key Delivery"**

1791 Whenever receiving a Get Permission Reply message with this StatusExtension, the  
1792 Marlin IPTV-ES Device SHALL verify its parameters as shown below.

1793

- 1794 • *WorkKeyID*
  - 1795 ➤ Marlin IPTV-ES Device SHALL verify the third significant byte of  
1796 WorkKeyID, i.e. ReservedByte, as specified in section 4.2.4.4.
- 1797 • *ExportInfoSize*
  - 1798 ➤ Marlin IPTV-ES Device SHALL verify the ExportInfoSize as specified in  
1799 section 4.2.4.6.

1800

1801 In addition to the verification described above, the Marlin IPTV-ES Device SHALL  
1802 update its retaining set of WorkKeyID, PrivateData and ExportInfo for exporting to a  
1803 certain media system with the received one for exporting to the same media system  
1804 when receiving a Reply message with an ExportInfo which the values of the following  
1805 parameters are the same as the retaining ones, provided that Marlin IPTV-ES Device  
1806 MAY skip to update the retaining parameters when they are equivalent to the  
1807 received ones.

1808

- 1809 • ServiceProviderID
- 1810 • ReservedByte
- 1811 • WorkKeyManagementID
- 1812 • Odd/Even of Work Key (the LSB value of WorkKeyVersion)

1813

#### 1814 **4.2.4.8 StatusExtension for "RECORD with Indirect Key Delivery"**

1815 Whenever receiving a Get Permission Reply message with this StatusExtension, the  
1816 Marlin IPTV-ES Device SHALL verify its parameters as shown below.

1817

- 1818 • *WorkKeyID*
  - 1819 ➤ Marlin IPTV-ES Device SHALL verify the third significant byte of  
1820 WorkKeyID, i.e. ReservedByte, as specified in section 4.2.4.4.

1821

1822       • *RecordInfoSize*  
 1823       ➤ If RecordInfoSize is other than “0002h”, the verification SHALL be  
 1824       deemed as “verification failure”.  
 1825  
 1826       In addition to the verification described above, the Marlin IPTV-ES Device SHALL  
 1827       update its retaining set of WorkKeyID, PrivateData and RecordInfo with the received  
 1828       one when receiving a Reply message with an RecordInfo which the values of the  
 1829       following parameters are the same as the retaining ones, provided that Marlin IPTV-  
 1830       ES Device MAY skip to update the retaining parameters when they are equivalent to  
 1831       the received ones.  
 1832  
 1833       • ServiceProviderID  
 1834       • ReservedByte  
 1835       • WorkKeyManagementID  
 1836       • Odd/Even of Work Key (the LSB value of WorkKeyVersion)  
 1837

#### 1838   **4.2.4.9 Get Trusted Time Request parameters**

1839       Whenever receiving this Get Trusted Time Request message, the Marlin IPTV-ES  
 1840       Server SHALL verify its parameters as shown below.  
 1841

1842       • *ProtocolVersion*  
 1843       ➤ Marlin IPTV-ES Server SHALL verify the ProtocolVersion as specified in  
 1844       section 4.2.4.1.  
 1845

#### 1846   **4.2.4.10 Get Trusted Time Reply parameters**

1847       Whenever receiving this Get Trusted Time Reply message, the Marlin IPTV-ES  
 1848       Device SHALL verify its parameters as shown below.  
 1849

1850       • *ProtocolVersion*  
 1851       ➤ Marlin IPTV-ES Server SHALL verify the ProtocolVersion as specified in  
 1852       section 4.2.4.2.  
 1853       • *Status*  
 1854       ➤ If Status is other than the value defined in Table 4-8, the verification  
 1855       SHALL be deemed as “verification failure”.  
 1856

#### 1857   **4.2.4.11 Packed Message Request parameters**

1858       Whenever receiving this Packed Message Request message, the Marlin IPTV-ES  
 1859       Server SHALL verify its parameters as shown below.  
 1860

1861       • *ProtocolVersion*  
 1862       ➤ Marlin IPTV-ES Server SHALL verify the ProtocolVersion as specified in  
 1863       section 4.2.4.1.  
 1864       • *NumberOfRequestMessageBoxes*  
 1865       ➤ If NumberOfRequestMessageBoxes is other than the number of  
 1866       messages packed, the verification SHALL be deemed as “verification  
 1867       failure” and the Status of the message sent to the Marlin IPTV-ES Device  
 1868       SHALL be set to “Message format error” (8009h).  
 1869       • *RequestMessageBoxList*  
 1870       ➤ If RequestMessageBoxList consists of Request messages which the  
 1871       Marlin IPTV-ES Server has no capability of handling, the verification

1872 SHALL be deemed as “verification failure” and the Marlin IPTV-ES Server  
 1873 SHALL set the Status of the Reply message to “Message format error”  
 1874 (8009h).  
 1875 ➤ If the verification of one or more parameters of Request messages  
 1876 packed in this RequestMessageBoxList has been deemed to be  
 1877 “verification failure”, the verification of this RequestMessageBoxList  
 1878 SHALL be deemed as “verification failure” and the Status of the message  
 1879 sent to the Marlin IPTV-ES Device SHALL be set to “Message format  
 1880 error” (8009h). In this case, Marlin IPTV-ES Server SHALL NOT pack  
 1881 individual replies as ReplyMessageBoxList and SHALL NOT set Status  
 1882 for individual requests.

- 1883 • *RequestMessageSize*
- 1884 ➤ If the sum of all RequestMessageSize is other than (Packed Message  
 1885 Request size – (6 + 2 \* NumberOfRequestMessageBoxes)), the  
 1886 verification SHALL be deemed as “verification failure” and the Status of  
 1887 the message sent to the Marlin IPTV-ES Device SHALL be set to  
 1888 “Message format error” (8009h).

1889

#### 1890 **4.2.4.12 Packed Message Reply parameters**

1891 Whenever receiving this Packed Message Reply message, the Marlin IPTV-ES  
 1892 Device SHALL verify its parameters as shown below.

1893

- 1894 • *ProtocolVersion*
- 1895 ➤ Marlin IPTV-ES Server SHALL verify the ProtocolVersion as specified in  
 1896 section 4.2.4.2.
- 1897 • *Status*
- 1898 ➤ If Status is other than the value defined in Table 4-9, the verification  
 1899 SHALL be deemed as “verification failure”.
- 1900 • *NumberOfReplyMessageBoxes*
- 1901 ➤ If NumberOfReplyMessageBoxes is other than the number of messages  
 1902 packed, the verification SHALL be deemed as “verification failure”.
- 1903 • *ReplyMessageBoxList*
- 1904 ➤ If the verification of one or more parameters of Reply messages packed in  
 1905 this ReplyMessageBoxList has been deemed to be “verification failure”,  
 1906 the verification of this ReplyMessageBoxList SHALL be deemed as  
 1907 “verification failure”.
- 1908 • *ReplyMessageSize*
- 1909 ➤ If the sum of all ReplyMessageSize is other than (Packed Message Reply  
 1910 size – (8 + 2 \* NumberOfReplyMessageBoxes)), the verification SHALL  
 1911 be deemed as “verification failure”.

1912

## 1913 5 Marlin IPTV-ES Trust Management

### 1914 5.1 Certificates

1915 Certificates assert a binding between an identity and a public key. The format of the  
1916 certificates used in Marlin IPTV-ES is X.509 v3 defined in [X509]. Except where  
1917 otherwise noted the certificate fields SHALL comply with the X.509 specification  
1918 defined in [X509] and the IETF PKIX profile defined in [PKIX].  
1919

#### 1920 5.1.1 Certificate Contents

1921 Typical contents of X.509 certificates used in Marlin IPTV-ES consist of the following  
1922 fields:

- 1923
- 1924 • Version.
- 1925 • Serial Number.
- 1926 • Signature.
- 1927 • Issuer.
- 1928 • Validity.
- 1929 • Subject.
- 1930 • Subject Public Key Information.
- 1931 • Extensions:
  - 1932 ○ Authority Key Identifier
  - 1933 ○ Subject Key Identifier
  - 1934 ○ Key Usage.
  - 1935 ○ Basic Constraints.
  - 1936 ○ CRL Distribution Points
  - 1937

##### 1938 5.1.1.1 Version

1939 The value of this field MUST be 2, which corresponds to X.509 version 3 Certificates.

1940  
1941 `Version ::= INTEGER { v3(2) }`  
1942

##### 1943 5.1.1.2 Signature

1944 The value of this field SHALL be *EC-DSA with SHA-256*.  
1945

##### 1946 5.1.1.3 Issuer

1947 The distinguished name of the Issuer MUST be represented with a single directory  
1948 name attribute. The attribute type MUST be either a X.500 commonName or a  
1949 directory name attribute whose syntax adheres to a URN and is identified by the  
1950 object identifier *id-nat-uri*. The latter is the preferred attribute type and it MUST be  
1951 used for all CA or end-entity certificates managed outside of the trust authority. This  
1952 attribute SHALL be encoded using UTF-8.

1953 cf.  
1954 `id-marlin OBJECT IDENTIFIER ::= {iso(1) identified-organization(3) dod(6)`  
1955 `internet(1) private(4) enterprise(1) marlin(23727)}`  
1956 `id-nemo OBJECT IDENTIFIER ::= {id-marlin nemo(1)}`  
1957 `id-nemo-nat OBJECT IDENTIFIER ::= {id-nemo nameAttribute(1)}`  
1958 `id-nat-uri OBJECT IDENTIFIER ::= {id-nemo-nat 1}`  
1959  
1960

#### 1961 **5.1.1.4 Subject**

1962 The distinguished name of the Subject field MUST be represented with a single  
1963 attribute.

1964  
1965 The attribute type for Marlin IPTV-ES Server MUST be either a X.500 commonName  
1966 or a URI attribute whose object identifier is *id-nat-uri*. The latter is the preferred  
1967 attribute type and it MUST be used for all CA or end-entity certificates managed  
1968 outside of the trust authority. This attribute SHALL be encoded using UTF-8.

1969  
1970 The attribute type for Marlin IPTV-ES Device MUST be a devid attribute whose  
1971 syntax adheres to a Device ID defined in [Starfish] §3.2.2 and is identified by the  
1972 object identifier *id-nat-devid*. This attribute SHALL be encoded using UTF-8.

1973 cf.  
1974 id-marlin OBJECT IDENTIFIER ::= {iso(1) identified-organization(3) dod(6)  
1975 internet(1) private(4) enterprise(1) marlin(23727)}  
1976 id-starfish OBJECT IDENTIFIER ::= {id-marlin starfish(3)}  
1977 id-starfish-nat OBJECT IDENTIFIER ::= {id-starfish nameAttribute(1)}  
1978 id-nat-devid OBJECT IDENTIFIER ::= {id-starfish-nat 1}

#### 1981 **5.1.1.5 Subject Public Key Info**

1982 This field carries the public key of the subject and identifies the algorithm with which  
1983 the key is used. Presently the only supported algorithm is *eccEncryption*.

### 1985 **5.1.2 Certificate Extensions**

1986 Marlin IPTV-ES implementation certificate extension fields may include CRL  
1987 Distribution Points.

#### 1989 **5.1.2.1 Authority Key Identifier**

1990 This field contains a hash of the issuer's public key.

1991  
1992 extnID : id-ce-authorityKeyIdentifier OBJECT IDENTIFIER ::= { id-ce 35 }  
1993 critical : FALSE  
1994 value : hash(PublicKey)

1995  
1996 The key identifier SHOULD be composed of the 160-bit SHA-1 hash (as defined in  
1997 [PKIX] §4.2.1.2 method 1) of the value of the bit string *issuerPublicKey* (excluding the  
1998 tag, length, and number of unused bits). This field is used to enable key changeover.

#### 2000 **5.1.2.2 Subject Key Identifier**

2001 This field contains a hash of the subject's public key.

2002  
2003 extnID : id-ce-subjectKeyIdentifier OBJECT IDENTIFIER ::= { id-ce 14 }  
2004 critical : FALSE  
2005 value : hash(PublicKey)

2006  
2007 The key identifier is composed of the 160-bit SHA-1 hash (as defined in [PKIX]  
2008 §4.2.1.2 method 1) of the value of the bit string *subjectPublicKey* (excluding the tag,  
2009 length, and number of unused bits).

#### 2011 **5.1.2.3 Key Usage**

2012 The key usage extension defines the purpose for which the key has been certified.  
2013 For example, it specifies whether a key can be used for signature, certificate signing

and key or data encipherment. The key usage field contains a bit string consisting of a series of flags, as indicated in [PKIX] §4.2.1.3.

```
extnID : id-ce-keyUsage OBJECT IDENTIFIER ::= { id-ce 15 }
```

#### 5.1.2.4 Basic Constraints

This field contains the value of the certificate's basic constraints. The basic constraints extension specifies whether the subject of the certificate is a Certificate Authority (CA) and in that case the maximum number of CA certificates that can follow this certificate in a certification path. This profile MUST adhere to the definition provided in [PKIX] §4.2.1.10.

```
extnID : id-ce-basicConstraints OBJECT IDENTIFIER ::= { id-ce 19 }
```

#### 5.1.2.5 CRL Distribution Points Field

This field identifies how CRL information is obtained. This profile relies upon an indirect CRL as described in [PKIX] §5. The CRL Distribution Points field MUST contain a *DistributionPointName*. This name MUST contain a general name of type URI. This URI is a pointer to the current CRL and is issued by the entity identified in *cRLIssuer*.

```
extnID : id-ce-cRLDistributionPoints OBJECT IDENTIFIER ::= { id-ce 31 }
```

All implementations SHALL be prepared to resolve an HTTP URL as the URI pointer. The following is an (non-normative) example of how the cRLDistributionPoints field is populated:

```
cRLDistributionPoints:
  DistributionPoint:
    distributionPoint: fullName: uniformResourceIdentifier: http://marlin-
tmo.com/crl/iptvescrl.crl
    cRLIssuer: directoryName: URI=urn:marlin:datacertification:revocation
```

## 5.2 Certificate Revocation List

A Certificate Revocation List (CRL) is used to convey to a certificate user the set of revoked certificates. The format of the CRL used in Marlin IPTV-ES is X.509 v2 CRL defined in [X509]. Except where otherwise noted the CRL fields SHALL comply with the X.509 specification defined in [X509] and the IETF PKIX profile defined in [PKIX].

### 5.2.1 CRL Contents

Contents of X.509 CRLs used in Marlin IPTV-ES consist of the following fields:

- Version.
- Signature.
- Issuer.
- ThisUpdate
- NextUpdate
- Revoked Certificates.
  - User Certificate.
  - Revocation Date
  - CRL Entry Extension:

- 2065                   ○ Certificate Issuer
- 2066       • CRL Extensions:
- 2067           ○ Authority Key Identifier
- 2068           ○ CRL Number.
- 2069           ○ Issuing Distribution Point
- 2070

#### 2071   **5.2.1.1 Version**

2072   The value of this field MUST be 1, which corresponds to X.509 version 2 CRL.

2073  
2074   Version ::= INTEGER { v2(1) }  
2075

#### 2076   **5.2.1.2 Signature**

2077   The value of this field SHALL be *EC-DSA with SHA-256*.  
2078

#### 2079   **5.2.1.3 Issuer**

2080   The distinguished name of the CRL Issuer MUST be represented with a single  
2081   directory name attribute. The attribute type MUST be either a X.500 commonName  
2082   or a directory name attribute whose syntax adheres to a URN and is identified by the  
2083   object identifier *id-nat-uri*.  
2084

#### 2085   **5.2.1.4 CRL Entry Extension**

2086   All mandatory fields for this extension must be present and follow the guidance given  
2087   in [PKIX] §5.3.  
2088

#### 2089   **5.2.1.5 CRL Extensions**

2090   As previously mentioned, the specification adheres to the [PKIX] CRL profile which  
2091   mandates that the fields must be present in the CRL Extensions. Specifically the  
2092   *AuthorityKeyIdentifier* and the *CRLNumber* MUST be present. This specification MAY  
2093   rely upon an indirect CRL. When an indirect CRL is used, the *issuingDistributionPoint*  
2094   extension MUST be present.  
2095

##### 2096   **5.2.1.5.1 Issuing Distribution Point**

2097   This field MUST follow the guidance given in [PKIX] §5.2.5. Specifically since the  
2098   CRL is an indirect CRL, the *indirectCRL* field MUST be present and MUST have a  
2099   value of TRUE.  
2100

### 2101   **5.3 DRL**

2102   A Device Revocation List (DRL) is used to convey to a Service the set of revoked  
2103   devices. The format of the DRL is based on X.509 v2 CRL defined in [X509].  
2104

#### 2105   **5.3.1 Node and Device IDs**

2106   Nodes and devices are identified by a sequence of npid's, as in [Starfish]. A Device  
2107   ID is the identifier of a node at the bottom layer of the HBES tree (layer 15). A node  
2108   ID is encoded as a character string whose length is one more than the layer of the  
2109   node. That is, nodes at layer 0 are encoded with a single character, while nodes at

2110 layer 15 are encoded with a string of 16 characters. The  $n^{\text{th}}$  character of the node ID  
2111 encoding is a hexadecimal digit ('0'-'f') that encodes the node's layer  $n-1$  npid. The  
2112 node ID encoding is not case sensitive, so that characters 'A'-'F' may also appear.

2113  
2114 For example, a node at layer three whose npid sequence is 1, 1, 10, 5 has the id  
2115 encoding: 11a5.  
2116

### 2117 5.3.2 DRL Fields

2118 A Device Revocation List contains the following fields.  
2119

Key Tree Name	This identifier MUST be the same as the corresponding field in Marlin Starfish BKB's [Starfish].
Revocation Version	A revocation number. DRLs are issued as an ordered series. Each time one or more additional Nodes are revoked, the Revocation Version of the DRL is incremented by one.
Issued On	The time at which the DRL was issued.
Next Update	The date by which the next DRL will be issued.
Revoked Node Ids	A sequence of the Maximal Completely Revoked Node IDs.
Signature	A signature covering all the fields in DRL.

2120

### 2121 5.3.3 DRL Format

2122 A Device Revocation List is encoded by X.509 CRL v2 format defined in [X509].  
2123

2124 Contents of DRLs consist of the following fields:

- 2125 • Version
- 2126 • Signature
- 2127 • Issuer
- 2128 • ThisUpdate
- 2129 • NextUpdate
- 2130 • Revoked Certificates
  - 2131 ○ User Certificate
  - 2132 ○ Revocation Date
  - 2133 ○ CRL Entry Extension:
    - 2134 ○ MaskBitCount
- 2135 • CRL Extensions:
  - 2136 ○ Authority Key Identifier
  - 2137 ○ CRL Number
  - 2138 ○ Issuing Distribution Point
  - 2139 ○ Key Tree Name

2140

#### 2141 5.3.3.1 Version

2142 The value of this field MUST be 1.

2143  
2144 `Version ::= INTEGER { v2(1) }`  
2145

#### 2146 5.3.3.2 Signature

2147 The value of this field SHALL be *ECDSA with SHA256*. This field corresponds to  
2148 Signature field in section 5.3.2.

2149  
2150 `ecdsa-with-Sha256 OBJECT IDENTIFIER ::= {`



2151 iso(1) member-body(2) us(840) ansi-X9-62(10045) signatures(4) ecdsa-with-  
2152 Specified(3) sha256(2) }  
2153

2154 **5.3.3.3 Issuer**

2155 The distinguished name of the DRL Issuer MUST be represented with a single  
2156 directory name attribute. The attribute type MUST be either a X.500 commonName  
2157 or a directory name attribute whose syntax adheres to a URN and is identified by the  
2158 object identifier *id-nat-uri*.  
2159

2160 **5.3.3.4 ThisUpdate**

2161 This field corresponds to Issued On field in section 5.3.2.  
2162

2163 **5.3.3.5 NextUpdate**

2164 This field corresponds to Next Update field in section 5.3.2.  
2165

2166 **5.3.3.6 User Certificate**

2167 This field corresponds to Revoked Node Ids field in section 5.3.2.  
2168

2169 **5.3.3.7 MaskBitCount**

2170 The MaskBitCount extension is a non-standard CRL entry extension. This extension  
2171 is used to revoke a batch of devices identified with a HBES Node ID range.

2172  
2173 id-iptves-drl-maskbitcount OBJECT IDENTIFIER ::= 1.3.6.1.4.1.23727.4.1.1  
2174 maskBitCount ::= INTEGER  
2175

2176 The extension SHALL be marked as critical.

2177  
2178 This mask bit count SHALL be a value between 1 and 64 and describes the bit count  
2179 of a Device ID that is to be considered in device revocation.

2180  
2181 The device revocation proceeds as follows.  
2182 1. Convert a mask bit count to a 64-bit mask.  
2183 2. Perform a bit-product (AND) operation on the mask and the Device ID in  
2184 Subject field of a certificate to be checked.  
2185 3. Compare the value produced by the operation and the Revoked Node ID  
2186 contained in the userCertificate field of DRL. If the two values match, the  
2187 device with this certificate is revoked.  
2188

2189 The mask bit format is depicted in Table 5-1.  
2190

Mask bit	Mask	Number of Revoked Devices
1	8000000000000000h	8000000000000000h
2	C000000000000000h	4000000000000000h
...	...	...
64	FFFFFFFFFFFFFFFFh	1h

Table 5-1 Mask bit format

2191

2192 **5.3.3.8 CRL Number**

2193 This field corresponds to Revocation Version field in section 5.3.2.

2194

2195 **5.3.3.9 Key Tree Name**

2196 The KeyTreeName extension is a non-standard CRL extension. This extension is

2197 used to identify the key tree name by specifying a URI.

2198

2199 `id-iptves-drl-keytreename OBJECT IDENTIFIER ::= 1.3.6.1.4.1.23727.4.1.2`

2200 `keyTreeName ::= UTF8String`

2201

2202 The extension SHALL be marked as non-critical. This field corresponds to Key Tree  
2203 Name field in section 5.3.2.

2204

## 6 File Format for Marlin IPTV-ES Content

### 6.1 Standalone Format

The Standalone Format is compatible with MPEG-2 TS defined in [MP2S]. The stream is Full or Partial Transport Stream. When an ECM is multiplexed into the stream, it contains one or more programs. Otherwise, it SHALL contain only one program. The Timed TS (TTS) which consists of TS packets each of which is preceded by a 32-bit timestamp is also supported. The time stamp is a binary counter value counted at 27 MHz frequency. Then the TTS packet size is always 192-byte.

#### 6.1.1 Stream encryption

The TS/TTS is encrypted partially as is the case in Conditional Access System (CAS) defined in [MP2S]. Whether a TS/TTS packet is encrypted is signaled with transport\_scrambling\_control bits in the TS packet header. The transport\_scrambling\_control bits SHALL be set to “10b” when a TS/TTS packet is encrypted with the Scramble Key (even), to “11b” when encrypted with the Scramble Key (odd), or to “00b” when not encrypted. The encryption algorithm SHALL be AES with a 128-bit key. The encryption mode is Cipher Block Chaining (CBC) mode with the residual termination block process specified in [SCTE52]. The encryption is performed per TS/TTS packet. The IV for the CBC mode SHALL be a value with all bits equal to zero and this single IV SHALL be applied for all of TS/TTS packets in the stream.

#### 6.1.2 ECM format

The ECM that contains Scramble Keys is typically multiplexed into MPEG-2 TS as the private section defined in [MP2S], but may also be transmitted alone without being multiplexed.

When the ECM is multiplexed, the table\_id and the section\_syntax\_indicator of the private section that carries the ECM SHALL be set to “82h” and “1b”, respectively. The CA\_descriptor is always set in the PMT, and the CA\_system\_ID and the CA\_PID of the CA\_descriptor are used to designate the CA system identifier of the Marlin IPTV-ES and the PID of TS/TTS packets of the ECM.

The ECM contains the following parameters.

- *ProtocolVersion*: The identification of a protocol that processes the ECM.
- *WorkKeyID*: The identifier of the Work Key that decrypts the ECM. The value of WorkKeyID of the Work Key used to decrypt the ECM SHALL be set.
- *Datetime*: Current date and time. The value is specified as 32-bit unsigned integer value, representing the number of minutes elapsed since January 1, 1970 00:00:00. The value is a UTC date. The first Datetime (byte index of 18 to 21) SHALL be set to the time and date of ECM delivery. The second Datetime (byte index of 50 to 53) SHALL be set to the same value of the first Datetime.
- *ChannelTierBits*: The first ChannelTierBits (byte index of 22 to 29) SHALL be set to the value of bit strings that specify the subscription to which the Channel carrying the ECM belongs. The second ChannelTierBits (byte index of 54 to 61) SHALL be set to the same value of the first ChannelTierBits.

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- 2254 • *RenderingObligation*: The first RenderingObligation (byte index of 30 to 31)
- 2255 SHALL be set to the value of Output Control Information defined in section
- 2256 4.2.1.4.1. The second RenderingObligation (byte index of 62 to 63) SHALL be
- 2257 set to the same value of the first RenderingObligation.
- 2258 • *ScrambleKey (odd/even)*: ScrambleKeys (odd/even) that decrypt TS/TTS
- 2259 packets.

2260  
 2261 The Datetime, ChannelTierBits, RenderingObligation, PrivateData (except the first  
 2262 one with byte index of 8 to 17) and both ScrambleKey (odd and even) are encrypted  
 2263 with the Work Key. The encryption algorithm SHALL be AES (128bits), and the  
 2264 encryption mode used is the CBC mode. The IV for the CBC mode SHALL be a  
 2265 value with all bits equal to zero. If a fraction is produced, the OFB mode SHALL be  
 2266 used as in [SCTE52].  
 2267

Byte index	Description
0-1	ProtocolVersion. "0100h" SHALL be set for this specification.
2-7	WorkKeyID.
8-17	PrivateData. "00h" SHALL be set to all 10 bytes for this specification.
18-21	Datetime.
22-29	ChannelTierBits.
30-31	RenderingObligation.
32-33	PrivateData. "00h" SHALL be set to all 2 bytes for this specification.
34-49	ScrambleKey (odd).
50-53	Datetime.
54-61	ChannelTierBits.
62-63	RenderingObligation.
64-65	PrivateData. "00h" SHALL be set to all 2 bytes for this specification.
66-81	ScrambleKey (even).
82-97	PrivateData. "00h" SHALL be set to all 16 bytes for this specification.

2268

### 2269 6.1.3 Processing Rules of ECM

2270 If not explicitly stated, verifications defined in this section SHALL be deemed as  
 2271 "verification succeeded" when it does not fall under the condition of "verification  
 2272 failure".

2273  
 2274 Whenever receiving this ECM, the Marlin IPTV-ES Device SHALL process the ECM  
 2275 as following:  
 2276

- 2277 • The Marlin IPTV-ES Device SHALL verify whether values of NotBefore and
- 2278 NotAfter of the set of WorkKey, WorkKeyID, SubscriptionTierBits, PrivateData
- 2279 and ExtractInfo, which is specified by the WorkKeyID within the ECM,
- 2280 satisfies the following conditions by using its Trusted Time. If and only if this
- 2281 verification succeeds, the Marlin IPTV-ES Device MAY decrypt the ECM
- 2282 using the relative Work Key.
- 2283 ➤ The value of NotBefore is no larger than the Trusted Time that the Marlin
- 2284 IPTV-ES Device retains, except for when this value is "FFFFFFFFh".
- 2285 ➤ The value of NotAfter is no smaller than the Trusted Time that the Marlin

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2286 IPTV-ES Device retains, except for when this value is "FFFFFFFFh".

2287 • After decrypting the ECM, the Marlin IPTV-ES Device SHALL verify its

2288 parameters as shown below. If "verification failure" occurs, the Marlin IPTV-

2289 ES Device SHALL NOT proceed the process described hereinafter.

2290 ➤ *ProtocolVersion*

2291 ✧ Marlin IPTV-ES Device SHALL verify the ProtocolVersion as specified

2292 in section 4.2.4.2.

2293 ➤ *Datetime*

2294 ✧ If Datetime is other than the other (byte index of 18 to 21 and 50 to 53,

2295 respectively), the verification SHALL be deemed as "verification

2296 failure".

2297 ➤ *ChannelTierBits*

2298 ✧ If ChannelTierBits is other than the other (byte index of 22 to 29 and

2299 54 to 61, respectively), the verification SHALL be deemed as

2300 "verification failure".

2301 ➤ *RenderingObligation*

2302 ✧ If RenderingObligation is other than the other RenderingObligation

2303 (byte index of 30 to 31 and 62 to 63, respectively), the verification

2304 SHALL be deemed as "verification failure".

2305 • After succeeding the verification of each parameters of the ECM, the Marlin

2306 IPTV-ES Device SHALL logically multiply (perform AND operation) each bit of

2307 ChannelTierBits within the ECM and SubscriptionTierBits of the Work Key

2308 used to decrypt the ECM. If one or more logical multiplications of each bit are

2309 "1b", the Marlin IPTV-ES Device MAY decrypt the content using the Scramble

2310 Keys.

2311 • After decrypting the content, the Marlin IPTV-ES Device SHALL control the

2312 consumption of the content in accordance with RenderingObligation obtained

2313 as an ECM, or with ExportInfo or RecordInfo corresponding to the WorkKeyID

2314 of the Work Key used to decrypt the ECM.

2315

## 2316 **6.2 Interoperable Format**

2317 The Interoperable Format is a subset of the Marlin BC Content Format defined in

2318 [MFF]. The Interoperable Format applies the following restrictions on the Marlin BC

2319 Content Format:

- 2320
- 2321 • Support for CBC mode only.
- 2322 • Support for only one content ID/License per file.
- 2323 • No IV update through a content stream.
- 2324 • No PI packet included in the content stream.
- 2325

2326 In the interoperable format, the TS/TTS is carried in the hierarchical box structure as

2327 defined in the Marlin BC Content Format. The box syntax is defined in [ISOMFF].

2328 Marlin IPTV-ES Devices MAY recognize and process all kinds of boxes and their

2329 content. However, they SHOULD be able to process *size* and *type* fields of top-level

2330 boxes to access the TS/TTS in the box structure. The top-level box carrying a

2331 TS/TTS is the Media Data box, which box type is 'mdat'. The Marlin IPTV-ES

2332 Devices can reach the box by skipping other top-level boxes which precede the

2333 Media Data box. When the Media Data box is found, the TS/TTS is located after *size*,

2334 *type* and occasionally *largesize* fields in the box. The TS/TTS length is calculated

2335 with the box size.

2336

## Appendix A Profiles (Normative)

This section specifies profiles for Marlin IPTV-ES Devices and Marlin IPTV-ES Servers based on ActionID of Get Permission Request message which they have the capability of handling. The profiles are defined by using mandatory/optional tables. In the table, 'M', 'O', and 'N/A' represent mandatory, optional, and not applicable function, respectively. When the Marlin IPTV-ES Devices or Servers have the capability of handling an ActionID specified on the horizontal axis (e.g. "01h"), they SHALL support the mandatory functions specified on the vertical axis (e.g. "Protocol Sequence" defined in section 4.1.1), and also support the optional functions where applicable (e.g. "Request message" defined in section 4.1.3.5). In the case that the Marlin IPTV-ES Devices/Servers support multiple ActionID, their profile is applied in logical disjunctive manner ("OR operation"), which means a function is mandatory if the function is specified as mandatory for at least one supported ActionID. Note that "w/o TM" indicates that supporting of TransactionFlag Management is not required while "w/ TM" indicates that supporting of TransactionFlag Management is required.

### A.1 SAC Protocol

#### A.1.1 Profile for Marlin IPTV-ES Devices

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Protocol sequence	4.1.1	M	M	M	M	M	M	M	M	M	M
Crypto algorithm	4.1.2	M	M	M	M	M	M	M	M	M	M
Message header and payload	4.1.3.1, 4.1.4.1	M	M	M	M	M	M	M	M	M	M
Challenge message	4.1.3.2	M	M	M	M	M	M	M	M	M	M
Response & Challenge message	4.1.4.3	M	M	M	M	M	M	M	M	M	M
Response & Request message	4.1.3.4	M	M	M	M	M	M	M	M	M	M
Request message	4.1.3.5	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>	O <sup>1</sup>
Reply message	4.1.4.6	M	M	M	M	M	M	M	M	M	M
Plain command message	4.1.4.7	M	M	M	M	M	M	M	M	M	M

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Encrypted command message	4.1.3.8, 4.1.4.8	M	M	M	M	M	M	M	M	M	M
Response & Commit message	4.1.3.9	N/A	M	N/A	M	M	N/A	N/A	M	M	M
Transaction Flag Processing	4.1.4.11.1	N/A	M	N/A	M	M	N/A	N/A	M	M	M
URI signature verification	4.1.4.12	M	M	M	M	M	M	M	M	M	M
CRL Processing	4.1.4.14	M	M	M	M	M	M	M	M	M	M

<sup>1</sup> Marlin IPTV-ES Devices SHALL be able to send Request message if and only if it needs to send two or more requests over one Marlin IPTV-ES SAC session.

## A.1.2 Profile for Marlin IPTV-ES Servers

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Protocol sequence	4.1.1	M	M	M	M	M	M	M	M	M	M
Crypto algorithm	4.1.2	M	M	M	M	M	M	M	M	M	M
Message header and payload	4.1.3.1, 4.1.4.1	M	M	M	M	M	M	M	M	M	M
Challenge message	4.1.4.2	M	M	M	M	M	M	M	M	M	M
Response & Challenge message	4.1.3.3	M	M	M	M	M	M	M	M	M	M
Response & Request message	4.1.4.4	M	M	M	M	M	M	M	M	M	M
Request message	4.1.4.5	M	M	M	M	M	M	M	M	M	M
Reply message	4.1.3.6	M	M	M	M	M	M	M	M	M	M

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Plain command message	4.1.3.7	M	M	M	M	M	M	M	M	M	M
Encrypted command message	4.1.3.8, 4.1.4.8	M	M	M	M	M	M	M	M	M	M
Response & Commit message	4.1.4.9	N/A	M	N/A	M	M	N/A	N/A	M	M	M
Transaction Flag Processing	4.1.4.11.2	N/A	M	N/A	M	M	N/A	N/A	M	M	M
URI signature verification	4.1.4.12	M	M	M	M	M	M	M	M	M	M
DRL Processing	4.1.4.13	M	M	M	M	M	M	M	M	M	M

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## 2362 **A.2 Service Protocol**

### 2363 **A.2.1 Profile for Marlin IPTV-ES Devices**

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Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Get Permission support (EXTRACT with Simple Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.3	M	M	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Get Permission support (EXTRACT with Indirect Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.4, 4.2.4.4.1	N/A	N/A	M	M	M	M	N/A	N/A	N/A	N/A



Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Get Permission support (EXTRACT with Direct Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.5, 4.2.4.5.1	N/A	N/A	N/A	N/A	N/A	N/A	M	M	N/A	N/A
Get Permission support (EXPORT for Copy with Direct Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.6	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	M	N/A
Get Permission support (EXPORT for Move with Direct Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.6	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	M
Get Permission support (EXPORT with Indirect Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.7	N/A	N/A	N/A	N/A	M	N/A	N/A	N/A	N/A	N/A
Get Permission support (RECORD with Indirect Key Delivery)	4.2.1.2, 4.2.4.2, 4.2.4.8	N/A	N/A	N/A	N/A	N/A	M	N/A	N/A	N/A	N/A
Get Trusted Time support	4.2.2.2, 4.2.4.10	O <sup>2</sup>	O <sup>2</sup>	O	O	O	O	O	O	O	O
Packed Message support	4.2.3.2, 4.2.4.12	O	O	O	O	O	O	O	O	O	O

<sup>2</sup> Marlin IPTV-ES Devices SHALL be able to send Get Trusted Time Request message if and only if the message is packed in Packed Message Request message.

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## A.2.2 Profile for Marlin IPTV-ES Servers

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Get Permission support (EXTRACT with Simple Key Delivery)	4.2.1.3, 4.2.1.4, 4.2.1.4.1, 4.2.4.1	M	M	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Get Permission support (EXTRACT with Indirect Key Delivery)	4.2.1.3, 4.2.1.4.1, 4.2.1.5, 4.2.1.5.1, 4.2.4.1	N/A	N/A	M	M	M	M	N/A	N/A	N/A	N/A
Get Permission support (EXTRACT with Direct Key Delivery)	4.2.1.3, 4.2.1.4.1, 4.2.1.6, 4.2.4.1	N/A	N/A	N/A	N/A	N/A	N/A	M	M	N/A	N/A
Get Permission support (EXPORT for Copy with Direct Key Delivery)	4.2.1.3, 4.2.1.7, 4.2.4.1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	M	N/A
Get Permission support (EXPORT for Move with Direct Key Delivery)	4.2.1.3, 4.2.1.7, 4.2.4.1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	M

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Get Permission support (EXPORT with Indirect Key Delivery)	4.2.1.3, 4.2.1.5.1, 4.2.1.8, 4.2.4.1	N/A	N/A	N/A	N/A	M	N/A	N/A	N/A	N/A	N/A
Get Permission support (RECORD with Indirect Key Delivery)	4.2.1.3, 4.2.1.4.1, 4.2.1.5.1, 4.2.1.9, 4.2.4.1	N/A	N/A	N/A	N/A	N/A	M	N/A	N/A	N/A	N/A
Get Trusted Time support	4.2.2.3, 4.2.4.9	M <sup>3</sup>	M <sup>3</sup>	M	M	M	M	M	M	M	M
Packed Message support	4.2.3.3, 4.2.4.11	M	M	M	M	M	M	M	M	M	M
General message processing rules	4.2.4	M	M	M	M	M	M	M	M	M	M

<sup>3</sup> Servers SHALL accept Get Trusted Time Request message if and only if the message is packed in Packed Message Request message.

## A.3 File Format

### A.3.1 Profile for Marlin IPTV-ES Devices

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Standalone Format	6.1	M	M	M	M	M	M	M	M	M	M
Stream encryption	6.1.1	M	M	M	M	M	M	M	M	M	M
ECM format	6.1.3	N/A	N/A	M	M	M	M	N/A	N/A	N/A	N/A

Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Interoperable Format	6.2	O	O	O	O	O	O	O	O	O	O

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### 2378 A.3.2 Profile for Marlin IPTV-ES Servers

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Function	Reference	Key Delivery Scheme/Action ID									
		Simple Key Delivery		Indirect Key Delivery				Direct Key Delivery			
		01h w/o TM	01h w/ TM	02h w/o TM	02h w/ TM	12h	20h	03h w/o TM	03h w/ TM	10h	11h
Standalone Format	6.1	M	M	M	M	M	M	M	M	M	M
Stream encryption	6.1.1	M	M	M	M	M	M	M	M	M	M
ECM format	6.1.2	N/A	N/A	M	M	M	M	N/A	N/A	N/A	N/A
Interoperable Format	6.2	O	O	O	O	O	O	O	O	O	O

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2381 Note that the profiles in this section MAY apply to servers other than Marlin IPTV-ES

2382 Server if they process these functions in this section.